

NYSE Pillar Trade Ops Portal - Authorized Administrators

Authorization

Member Firm acknowledges and agrees that its use of the trade ops portal is: (1) governed by the Terms of Use available at https://www.theice.com/publicdocs/nyse/markets/nyse/TOP_Terms_of_Use.pdf and that it must review and accept these Terms of Use before accessing the tool; (2) the individuals listed below may authorize access and use of the tool on behalf of Member Firm (for a "User"); (3) if a User has left the Member Firm or is no longer authorized to use the tool, the Member Firm must immediately notify crs@nyse.com; and (4) Member Firm is responsible for the acts and omissions of User.

General Information

Firm Name: _____
Business Address: _____
City: _____ State: _____ Zip: _____
Business Phone: _____ CRD #: _____

Authorized Administrators

The following employees of the above Member Firm have been designated as Administrators for the Services provided in the customer management tool and are authorized to request access and make changes to User profiles via the completion of the NYSE Pillar Trade Ops Portal - User Request and Authorization form available at www.nyse.com/pillar. Member Firm agrees that it will inform NYSE in writing if any of the below Administrators are no longer authorized to act in the capacity indicated herein. Member Firm is responsible for the acts and omissions of Administrators.

Full Name: _____
Email Address: _____
Title: _____ Phone #: _____

Full Name: _____
Email Address: _____
Title: _____ Phone #: _____

Full Name: _____
Email Address: _____
Title: _____ Phone #: _____

Full Name: _____
Email Address: _____
Title: _____ Phone #: _____

Compliance Officer Acceptance and Authorization

By (Signature) _____ Date: _____
Print Name: _____
Title: _____
Phone: _____ Email: _____

Completed Authorized Administrator forms should be returned via email to crs@nyse.com.