

OTP HOLDER REQUEST FOR OFF-FLOOR TRANSFER OF POSITIONS

OTP Holder _____

CRD# _____

Pursuant to NYSE Arca Rule 6.78(d), the OTP Holder identified above intends to transfer certain securities positions from one trading account to another account in a manner other than trading on the floor of a national securities exchange. The OTP Holder hereby requests the NYSE Arca approval to transfer securities positions off the floor of the Exchange from one account to another as follows:

Proposed Transfer Date:	
Briefly explain the purpose of this Position Transfer:	
Option or Stock Symbols of the positions to be transferred:	

The accounts and OTP Holders owning and controlling the accounts involved in this position transfer include:

Positions will be transferred From Account #	
Owned/Controlled by: (Name of OTP Holder)	
Positions will be transferred To Account #	
Owned/Controlled by: (Name of OTP Holder)	

The net effect on **open interest** as a result of this transfer in securities positions will be:

<input type="checkbox"/> No effect on open interest	Approximately _____ % effect on open interest
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If all ownership and control of the transferred positions will be relinquished to a person or entity that is not a registered OTP Holder or OTP Firm, this form may not be used.

The undersigned hereby represents that the information contained herein is true, correct and complete.

Print Name of Authorized Person	Title
Signature	Date

Submit scan and return this form to: - Client Relationship services at crs@nyse.com

Approved by: _____ on _____
