



Date: December 22, 2017
Audience: NYSE Options Participants
Subject: Penny Pilot Replacement Issues

Summary:

On **January 3, 2018**, NYSE Arca Options and NYSE American Options will be replacing delisted issues in the Penny Pilot with the next most active multiply listed issues under \$200 that are not yet in the Penny Pilot.

NYSE American Rule 960NY Commentary .02 and NYSE Arca Rule 6.72-O Commentary .02 include provisions for replacement of Penny Pilot issues that have been delisted and provide that replacement issues may be added to the Penny Pilot on the second trading day following January 1, 2018.

The second trading day following January 1, 2018 is **January 3, 2018**.

The following issues have been delisted and will be replaced:

BHI	Baker Hughes
BRCD	Brocade Communications Systems Inc
DD	El du Pont de Nemours & Co
KCG	Knight Capital Group Inc
WFM	Whole Foods Market

The replacements issues will be:

SQ	Square Inc
KR	Kroger Co
SMH	VanEck Market Vectors Semiconductor ETF
CTL	CenturyLink Inc
AAOI	Applied Optoelectronics Inc

Contact Info:

Questions regarding the Penny Pilot for Options may be directed to the NYSE Trading Operations Desk at 212-896-2830 option 1, 3.



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