



Date: September 11, 2020

Audience: NYSE, NYSE American Equities, NYSE American Options, NYSE Arca Equities, NYSE Arca Options, NYSE Chicago, NYSE National, FINRA/NYSE TRF, and Global OTC Traders

Subject: NYSE exchanges to prepare for potential move from New Jersey datacenter, including temporary relocation of NYSE Chicago on September 28

Numerous NYSE member firms have recently reached out to the Exchange to understand our plans should New Jersey institute its proposed tax on financial transactions processed through electronic infrastructure located in the state. They are concerned, as are we, that any tax imposed will be passed through to NYSE members, and ultimately their clients, who are often the very same Main Street investors who reside in states like New Jersey and elsewhere.

NYSE has the ability to operate all of its markets out of either its primary datacenter in Mahwah, New Jersey or an alternate datacenter. Designed for various disaster recovery scenarios, a change in location can be performed in a matter of minutes, if necessary.

If our members express a strong preference to permanently relocate our trading infrastructure out of New Jersey, the process to do this is well-documented, regularly tested and would not cause any disruption to NYSE operations.

To help test and prepare our members for any such action, NYSE will implement two immediate measures:

1. **Relocation of production trading for NYSE Chicago the week of September 28:** The NYSE will operate one of its equity exchanges, NYSE Chicago, from its secondary datacenter from September 28th to October 2nd. This will confirm the industry's ability to seamlessly move live trading out of New Jersey.
2. **Weekend test of all markets:** The NYSE, in coordination with Nasdaq, Cboe, SIFMA and other industry participants, will conduct a test of all its exchanges operating from their secondary locations on Saturday, September 26, 2020. This controlled test will exercise the industry's preparedness for a potential wholesale transition out of New Jersey. Details for the weekend test will follow in a separate announcement.

NYSE Chicago temporary relocation details

During the week of September 28th, NYSE Chicago will operate from the Cermak data center as its primary location. NYSE Chicago members should connect to the exchange and trade using their Cermak-based order entry and market data sessions. More information about connecting to Cermak sessions is available in our [Disaster Recovery FAQs](#).

Firms can connect to Cermak using an ICE Global Network (IGN) Point of Presence or from Mahwah's LCN network. NYSE Chicago will support connectivity testing on Saturday, September 19th and Saturday, September

26th to ensure client readiness for the transition. Inquiries regarding existing and new sessions should be directed to API Technical Support at connectivity@nyse.com.

During this period, production trading for all other NYSE markets will operate from the Mahwah data center. NYSE Chicago will return to Mahwah-based operations at the end of the trading day on October 2nd.

For additional information, please contact NYSE Trading Operations (trading@nyse.com) or NYSE Relationship Management (rmteam@nyse.com).

Contact Info:

NYSE Trading Operations	Relationship Management	Technology Member Services	Client Relationship Services
Trading@nyse.com	RMTeam@nyse.com	TMS@nyse.com	CRS@nyse.com
212-896-2830 x1	855-898-9012	212-896-2830 x2	212-896-2830 x4