

Date: September 4, 2019
Audience: NYSE Chicago Traders
Subject: NYSE Pillar Migration Update – NYSE Chicago

As previously [announced](#), subject to regulatory approval, NYSE Chicago will migrate to the NYSE Pillar Platform on November 4, 2019. Several key elements of the new NYSE Chicago will be:

- NYSE Pillar technology platform
- Price-time priority model
- Continued support of NYSE Chicago’s Institutional Brokerage community
- NYSE Chicago will not be a primary listing venue and will not conduct auctions in any symbols
- Trading in all NMS securities on an unlisted trading privileges basis will be operated out of Mahwah, NJ

Membership

Prior to production permissioning, all NYSE Chicago participant firms are required to submit the following Pillar paperwork to crs@nyse.com. Please submit this at your earliest convenience to be eligible for the production shadow period and other testing opportunities. (see Shadow and Weekend Industry Testing below)

- Complete the [NYSE Master User Agreement](#) (MUA) or if an MUA is already on file for another Pillar market, submit the [NYSE Master User Agreement - Additional Platform Agreement](#)
- Complete the [NYSE Pillar Connectivity Questionnaire](#)
- Clearing Letter of Consent - Section 9 of the [NYSE Chicago Trading Permit Application](#), if not self-clearing

Customer Gateways

Once NYSE Chicago migrates to Pillar, customers must access NYSE Chicago via the NYSE Pillar Gateways using NYSE Pillar Binary and NYSE Pillar FIX specifications. To connect to the NYSE Pillar Gateways, customers must complete the following steps:

- Submit a [test session request form](#) to tms@nyse.com
- Perform one-on-one certification testing with a member of the Firm Testing Team (by appointment only)
- Complete the UAT Test Script
- Submit an attestation upon successful completion of the testing checklist
- Request [Pillar production sessions](#)

Note: Firms that have already completed the certification process for NYSE/NYSE Arca/American/National will not need to repeat for NYSE Chicago; however, attestation will still be required.

Updated NYSE Pillar Gateway specifications and functional difference documents can be found [here](#).

Shadow and Weekend Industry Testing

Shadow testing in the production environment with test symbols (CTEST, MTEST) will be available on September 16, 2019. Weekend production testing opportunities will be available on:

- September 14, 2019 (Production and DR) *
- October 12, 2019 (Production and DR)
- Go Live testing on November 2, 2019

Firms wishing to participate in weekend testing should register with connectivity@nyse.com. A test script, including the time line for testing, will be provided at registration.

NYSE Pillar Landing Page

Information is also available at: <http://nyse.com/Pillar>

* A September 7 test announced in June was removed from the test calendar.

NYSE Trading Operations	Relationship Management	Technology Member Services	Client Relationship Services
Trading@nyse.com	RMTeam@nyse.com	TMS@nyse.com	CRS@nyse.com
212-896-2830 x1	855-898-9012	212-896-2830 x2	212-896-2830 x4