



NYSE Regulation, Inc.

Information Memo

NYSE Number 16-1

January 29, 2016

To: ALL MEMBERS AND MEMBER ORGANIZATIONS

Subject: ELIMINATION OF RULE 410B - REPORTS OF LISTED SECURITIES
TRANSACTIONS EFFECTED OFF THE EXCHANGE

This Information Memorandum advises New York Stock Exchange LLC ("NYSE") and NYSE MKT LLC ("NYSE MKT") member organizations that it has amended its rules to eliminate NYSE Rule 410B, Reports of Listed Securities Transactions Effected off the Exchange. Effective February 1, 2016, member organizations are no longer required to report listed securities transactions not reported to the Consolidated Tape to the NYSE or NYSE MKT.

This Information Memorandum supersedes Information Memorandum 92-32.
(November 13, 1992).

Questions regarding this memo may be directed to NYSE Regulation at NYSERegulation@theice.com
or (212) 656-5102.