

NYSE Regulation, Inc.

Information Memo Number 2015-9 December 8, 2015

To: ALL MEMBERS AND MEMBER ORGANIZATIONS

Subject: ELIMINATION OF FUTURES COMMISSION MERCHANT REPORTING OBLIGATIONS

This Information Memorandum advises New York Stock Exchange LLC ("NYSE") and NYSE MKT LLC ("NYSE MKT") member organizations that, effective immediately, it is no longer necessary to update FINRA, NYSE, or NYSE MKT with a list of Futures Commission Merchants ("FCMs") used by the member organization to execute agency and proprietary index futures.

This Information Memorandum supersedes Information Memoranda 12-13 (April 30, 2012) and 11-17 (June 27, 2011).

Questions regarding this memo may be directed to NYSE Regulation at <u>NYSERegulation@theice.com</u> or (212) 656-5102.