



Attention: ALL NYSE AND NYSE MKT MEMBERS AND MEMBER ORGANIZATIONS

To: ALL MEMBERS AND MEMBER ORGANIZATIONS

Subject: LIMITATIONS ON THE USE OF CERTAIN WIRELESS TRADING DEVICES

Purpose

In light of the redesign of the trading floor, this is a reminder of the prohibitions regarding the use of equities and options wireless trading devices by members and their employees.

Prohibitions Regarding Wireless Handheld Trading Devices

Members and employees of member organizations are prohibited from using or possessing any wireless handheld trading device that may be used to view or enter orders directly into the Equities automated trading systems while on the Options Trading Floor. Members and employees of member organizations are also prohibited from using or possessing any wireless trading device that may be used to view or enter orders directly into the Options automated trading systems while on the Equities Trading Floor.

Before leaving the Equities Trading Floor, members should ensure they properly secure their handheld trading devices to prevent unauthorized use. Members that bring an equities handheld trading device onto the Options Trading Floor will be subject to appropriate disciplinary action.

Supervision

Member organizations are reminded they must have adequate written policies, procedures, and supervisory controls reasonably designed to detect and deter potential violations of the prohibitions described in this Memorandum. Failure to have adequate written policies and procedures in place or to have an adequate supervisory system in place may result in disciplinary action.

NYSE Regulation Staff Contacts:

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