Technical Addendum to the MOC Client Notice

Currently, the NYSE offers an optional service that will reject every order entered after 3:40 PM with an MOC or LOC instruction attached, whether the order is offsetting a published imbalance or adding to it. The Exchange will replace this current service that member firms had requested for their individual mnemonic profiles with this new service that will be effective for **all** mnemonic profiles.

As part of the new service, CMS and CCG will provide the following rejections:

CCG Reject Messages:
1. For MOC and LOC orders received after 3:40 PM with no published regulatory imbalance, CCG will provide a reject message with the following text in FIX Tag 58:
    1.1. REJ - ORDER PAST MOC TIME
2. For MOC and LOC orders received after 3:40 PM and are on the same side of a published regulatory imbalance, CCG will provide a reject message with the following text in FIX Tag 58:
    2.1. REJ - SAME SIDE OF CLOSING IMBALANCE
3. For cancels received after 3:40 PM against MOC and LOC orders, CCG will provide a cancel reject message with the following text in FIX Tag 58:
    3.1. REJ - INVALID CANCEL FOR MOC/LOC/CLO ORDER

CMS FIX Reject Messages:
1. For MOC and LOC orders received after 3:40 PM with no published regulatory imbalance, CMS FIX will provide a reject message with the following text in FIX Tag 58:
    1.1. REJ - ORDER PAST MOC TIME
2. For MOC and LOC orders received after 3:40 PM and are on the same side of a published regulatory imbalance, CMS FIX will provide a reject message with the following text in FIX Tag 58:
    2.1. REJ - SAME SIDE OF CLOSING IMBALANCE
3. For cancels received after 3:40 PM against MOC and LOC orders, CMS FIX will provide a cancel reject message with the following text in FIX Tag 58:
    3.1. REJ - INVALID CANCEL FOR MOC/LOC/CLO ORDER

CMS FCS Reject Messages:
1. For MOC and LOC orders received after 3:40 PM or received on the same side of a published regulatory imbalance, CMS FCS will provide a reject message with the following text:
    1.1. REJ - ORDER PAST MOC TIME
2. For cancels received after 3:40 PM against MOC and LOC orders, CMS FCS will provide a cancel reject message with the following text:
    2.1. REJ - INV CANCEL DATA

It should be noted that all of the new reject messages will be available for Round Lot, Partial Round Lot and Odd Lot – On Close orders, regardless of firm mnemonic profile.

The "OCX" routing instruction utilized in FIX Tag 9487 or FCS Line 1A to override the current optional MOC/LOC service block will **not** override the new service.