Technical Addendum to the MOC Client Notice

Currently, the NYSE offers an optional service that will reject every order entered after 3:40 PM with an MOC or LOC instruction attached, whether the order is offsetting a published imbalance or adding to it. The Exchange will replace this current member firm service for their individual mnemonic profiles with this new service that will be effective for **all** mnemonic profiles.

As part of the new service, CCG will provide the following rejections:

CCG Reject Messages:

1. For MOC and LOC orders received after 3:40 PM with no published regulatory imbalance, CCG will provide a reject message with the following text in FIX Tag 58:
    1.1. REJ - ORDER PAST MOC TIME

2. For MOC and LOC orders received after 3:40 PM and are on the same side of a published regulatory imbalance, CCG will provide a reject message with the following text in FIX Tag 58:
    2.1. REJ - SAME SIDE OF CLOSING IMBALANCE

3. For cancels received after 3:50 PM against MOC and LOC orders, CCG will provide a cancel reject message with the following text in FIX Tag 58:
    3.1. REJ - INVALID CANCEL FOR MOC/LOC/CLO ORDER

It should be noted that all new reject messages will be available for Round Lot, Partial Round Lot and Odd Lot – On Close orders, regardless of firm mnemonic profile.

The "OCX" routing instruction utilized in FIX Tag 9487 to override the current optional MOC/LOC service block will **not** override the new service.