



TRADE REPORTING FACILITY

Date: November 12, 2013

Audience: FINRA/NYSE Trade Reporting Facility® Customers

Subject: CMT for FINRA/NYSE TRF® Outage Notification

Summary:

Please be advised that the CMT for FINRA/NYSE TRF® will remain unavailable to customers through Friday, November 15, 2013. We anticipate customer access to the CMT will be restored on Monday morning, November 18, 2013.

FINRA/NYSE TRF voluntarily removed customer access to the CMT to strengthen information security settings with regard to third-party software. Access to CMT will be restored after implementation.

We apologize for any inconvenience this has caused, and appreciate your continued support of the FINRA/NYSE TRF®.

Customers with additional questions may contact Chris Mazzacano at 312-442-7053 (cmazzacano@nyx.com), or Client Relationship Services (crs@nyx.com) at 888-689-7739 (Option 3).

Additional Contact Information:

Relationship Management
RMTeam@nyx.com
