Options Designated Give Up Request Form

NYSE American LLC and NYSE Arca, Inc. (each an "Exchange")

To be completed by an NYSE American Options or NYSE Arca Options Member Firm to request access to an unrestricted give up.

Indicate Exchange for which Member Firm is requesting give up (Check all that apply)

| □ NYSE American Options | □ NYSE Arca Options |
|-------------------------|---------------------|

1. Member Firm Information

| Firm Name | CRD # | |
|------------------------------------|-------|--|
| Business Contact Name | | |
| Business Contact E-mail Address | | |

2. Give up Information

| | | | | Disable give up |
|--------------------------|--|--------|--|--------------------|
| Clearing Member Name: | | OCC #: | | |
| Clearing Member Name: | | OCC #: | | |
| Clearing Member Name: | | OCC #: | | |
| Clearing Member Name: | | OCC #: | | |
| Clearing Member Name: | | OCC #: | | |
| Clearing Member Name: | | OCC #: | | |
| Clearing Member Name: | | OCC #: | | |

The above referenced Member Firm represents that it has a valid Clearing Letter of Consent or Letter of Authorization on file and has appropriate policies and procedures applicable to the clearing and settlement of transactions executed pursuant to such agreement and NYSE American Rule 961 and/or NYSE Arca Rule 6.15-O as applicable.

| Print Name and Title of Authorized Signatory | |
|---|------|
| Signature | Date |

Please return to Client Relationship Services via email at CRS@nyse.com.