

Date:June 11, 2019Audience:NYSE TradersSubject:NYSE and NYSE National Functionality Update and NYSE - Tape A Symbol Migration

Subject to effectiveness of rule filings with the SEC, NYSE will complete the migration to the NYSE Pillar technology platform in mid-2019. The migration will include the transition of NYSE primary-listed symbols (Tape A) to the NYSE Pillar platform and the introduction of NYSE Pillar Gateways for NYSE. The NYSE has created a presentation regarding the NYSE Pillar system. Firms interested in receiving a copy of this presentation should contact their Relationship Manager.

NYSE National and NYSE Tapes B and C Roll Out

On Monday, June 17, 2019, new NYSE Pillar functionality will be introduced on NYSE National and NYSE Tapes B and C. Please refer to the <u>Functional Differences document</u> for new functionality.

Tape A Symbol Migration Schedule

As previously announced, the migration of Tape A symbols on NYSE will begin August 5, 2019 with an anticipated end date of August 22, 2019. The first symbol to migrate to NYSE Pillar technology will be Intercontinental Exchange Inc. - ICE. The migration schedule can be found <u>here</u>. Any changes to the migration schedule will be announced and posted on <u>www.nyse.com/Pillar</u>.

Weekend Production Testing Opportunities

The remaining weekend production testing opportunities for Tape A securities on NYSE Pillar will be:

- June 15, 2019 Production Shadow Testing begins June 17, 2019
- June 29, 2019 Testing in Tape B and C securities only. (NYSE Pillar Native Gateways go-live 7/1)
- July 20, 2019
- July 27, 2019
- August 3, 2019

Firms wishing to participate should register with <u>connectivity@nyse.com</u>. A test script, including a list of symbols available for testing on the NYSE Pillar Platform, will be provided at registration.

OATS Testing Opportunity

Saturday June 15, 2019 will be the second of three testing opportunities for OATS reporting. Further details can be found in this <u>Trader Update</u>.

NYSE Pillar Gateways for NYSE

NYSE Pillar Gateways will be introduced for the NYSE market, supporting order types and modifiers as detailed in the functional differences document and NYSE Pillar Gateway specifications. They will be supported in production for Tape B and C securities beginning on July 1, 2019.

Legacy NYSE CCG FIX and NYSE UTPDirect (binary) gateways will remain available, but performance on NYSE Pillar gateways will be superior.

Firms routing orders to NYSE Floor Brokers will continue to use their NYSE CCG FIX connections until NYSE Pillar Gateways are introduced at a later date.

Updated NYSE Pillar Gateway specifications and functional difference documents can be found <u>here</u>.

Requesting NYSE Pillar Gateways for NYSE

Firms will need to complete the following forms to receive sessions on the new NYSE Pillar gateways. <u>Connectivity Questionnaire</u>, <u>Session Request Form</u> and the <u>Master User Agreement</u> (if not already completed.) Return completed documents to <u>crs@nyse.com</u>.

Functional Differences

There will be functional changes for NYSE Tape A securities on Pillar regardless of the gateway used. Details of the functional changes have been updated for each market and can be found <u>here</u> with changes highlighted and summarized in the version history. As a reminder, the changes are organized within document in the following categories:

- #Auctions
- #OrderHandling
- #Gateways
- #MarketData
- #General

NYSE Pillar Landing Page

Additional information will also be made available at: <u>http://nyse.com/Pillar</u>

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