

# NYSE Equities Mnemonic Request Form

(For brief descriptions of terms used on this form see next page or contact [mnemonics@nyse.com](mailto:mnemonics@nyse.com))

Entering Firm Information		
Entering Firm Name:		
Mnemonic Type: Select "Z" or 3 Character      Z    _    _    _    _    _    _		
Entering Firm Contact:	Telephone Number:	E-mail Address:
Clearing Firm Information		
Clearing Firm Name:		
Clearing Alpha:	Clearing Number:	
Clearing Firm Contact:	Telephone Number:	E-mail Address:
Mnemonic Attributes		
CCG Interface Type:	CCG Device ID:	
Drop Copy ID:	Drop Copy Message Type: Reports                      Orders                      Admin	
BBSS Agencies:		
Done for Day Messages: Yes                      No		
Optional Services ( <i>descriptions of services on next page</i> ): Retail Member Organization (RMO) Retail Liquidity Provider (RLP) Supplementary Liquidity Providers (SLP) Supplementary Liquidity Market Maker (SLMM) DMM s-Quotes Cumulative Executed Quantity and Average Price		

PLEASE RETURN THIS FORM VIA EMAIL TO: [mnemonics@nyse.com](mailto:mnemonics@nyse.com)

# Description of Mnemonic Attributes and Optional Services

## Entering Firm Information

**Entering Firm Name:** Valid NYSE Member Firm. Will be billed for NYSE transaction fees.

**Mnemonic Types:**

- **Z-Mnemonic** – For orders that must be routed through a handheld for execution at the Post.
- **3-character** (Non Z-mnemonic) – For orders that can go straight to the Post or to the handheld.

## Clearing Firm Information

**Clearing Firm Name:** Valid NYSE Clearing Member Firm

**Clearing Alpha:** DTCC issued Clearing symbol

**Clearing Number:** DTCC issued Clearing number

## Mnemonic Attributes

**CCG Interface Type:** Member Firm/Vendor Order Management System (OMS) connected to CCG

**CCG Device ID:** 4-character alpha specific to CCG order entry sessions

**BBSS Agencies:** 2-character alpha or alpha numeric BBSS target designation (Tag 143 in Fix Message)

**Drop Copy Line ID:** 4-character alpha specific to the Drop Copy connection

**Designated for:**

- **Reports:** Drop Copy designation will receive all Execution Reports
- **Admins:** Drop Copy designation will receive all order specific Admins
- **Orders:** Drop Copy designation will receive all order information

**Done For Day Messages:** Enabled on a mnemonic level, a UROUT message is sent on all open day orders as soon as the stock is closed.

**Cumulative Executed Quantity and Average Price:** Enabled on a mnemonic level, this service will return the CumExQty and AvgPx on report and report corrections for an order.

**Retail Member Organization (RMO):** Is a member organization that has been approved by the exchange to submit Retail Orders.

**Retail Liquidity Provider (RLP):** Is a member organization that has been approved by the exchange to provide potential price improvement for Retail Orders in the form of non-displayed interest that is better than the Best Protected Bid and Best Protected Offer.

**DMM s-Quotes:** These mnemonics are created for placing DMM interest.

**Supplementary Liquidity Providers (SLP):** Are for electronic, high volume members off the trading floor who are incented to add liquidity on the NYSE, complementing that of other quote providers.

**Supplementary Liquidity Market Maker (SLMM):** Are registered market makers at the exchange, supplementing the liquidity provided by DMMs and will assist in the maintenance of a fair and orderly market, as reasonably practicable.