

11 Wall Street
New York, NY 10005

October 29, 2014

TO: All Members and Member Organizations

SUBJECT: Merged Order Log Output File Transmission

ROUTE TO: Business Leaders, Technology and Operations Officers, Merged Order Log Users

The NYSE is introducing a Managed File Transfer (MFT) transmission facility for distribution of the Merged Order Log Outputs. This new facility will allow firms to “pull” their report data from a centralized server (currently the NYSE “pushes” data to each individual firm’s server). Files will be placed in a user-defined folder and allow firms to retrieve these files from us. The NYSE will run this program in a shadow mode from now to February 28, 2015. Until February 28, 2015, firms may continue to receive files as they do today until they are ready to cutover to the new process. All users will be required to use the new process by February 28, 2015 at which time the existing transmissions will be eliminated. This first phase will include the Entering Firm (06220527 MRO, 06222466 print-image) and Clearing Firm (06220564 MRO, 06010641 print-image) output products. Badge (06200574 MRO, 06201028 print) and Agency Log (0601578 MRO, 06010166 print) outputs will be addressed in a later phase, which will be announced in a subsequent notice.

To set up accounts on the new facility, firms should submit a request to Global Data Services at GDSPostTradeAppSupport@nyx.com with their technical contact name and products they would like to have made available. The expected file(s) will be available in each firm’s designated pickup folder once a confirmation email is received from GDS. Firms will initiate the process to retrieve their files from their site and can access the files via sftp/scp or https. The file names will be the same as they are today but the folder/directory URL for pickup will be new. This will allow firms to initiate this process in parallel while still receiving their file as they do today. Please note there will be an online retention of 15 business days. Currently firms can request retransmissions for missed files; in the future, it will be the firms’ responsibility to pick up the files from their server within the 15 day time period.

Instructions for setting up the process to get files will be provided by Global Data Services upon enrollment.

Contact Information:

For any business questions concerning this notice, please contact:

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