

STEPOUT SERVICE GEMS USER REQUEST AND COMODO CERTIFICATE AUTHORIZATION

NYSE Stepout Service (SOS) GEMS Authorization for Equities

NYSE agrees to provide access to its Global Execution Management System ("GEMS") to the company set forth below ("Company"). Company acknowledges and agrees that (1) the individuals listed below may access and use GEMS SOS on behalf of the Company (each a "GEMS User"); (2) the company must immediately notify NYSE if a GEMS User has left the Company or is no longer authorized to use SOS GEMS and (3) that Company is responsible for any acts of such NYSE GEMS User access until notice has been provided to NYSE. Notifications should be sent via email to brokerservices@nyx.com.

General Information

Firm Name: _____
Business Address: _____
City: _____ State: _____ Zip: _____
Business Phone: _____ Clearing #: _____ Clearing Alpha: _____

GEMS User

First/Last Name: _____ Phone: _____
Email Address: _____
 GEMS SOS User (Read/Modify) _____

GEMS User

First/Last Name: _____ Phone: _____
Email Address: _____
 GEMS SOS User (Read/Modify) _____

*If additional users are required, please attach multiple copies of this form.

COMODO Certification

COMODO Certifications are assigned on a User Level (name and e-mail).
Do the users listed above currently have access to GEMS? Yes No: _____

Authorization and Acceptance

By (Signature) _____
Name: _____
Title: _____
Phone: _____
Email Address: _____
Date: _____

Completed GEMS SOS User Request and Authorization forms should be returned via email to brokerservices@nyx.com or via fax at (212) 656-3244. Questions can be directed to Broker Services at (212) 656-2005.