

**NEW YORK STOCK EXCHANGE LLC (“EXCHANGE”) FLOOR BROKER
EQUITY CLEARING LETTER OF CONSENT**

Letter of Consent – To be completed by Clearing Member (defined below) and Member (set forth below) of the Exchange.

The term “Member” refers to a member organization of the Exchange that operates a Floor broker business and includes applicants to be a Member of the Exchange. The term “Customer” refers to a broker-dealer customer or affiliate of the Member.

The term “Clearing Member” refers to a member organization of the Exchange that is a clearing agency that is a member of the National Securities Clearing Corporation (“NSCC”).

The undersigned Clearing Member hereby represents that it has a relationship with the Customer specified below and agrees to accept full financial responsibility for all transactions effected through and carried in all accounts held by the Customer:

Member

CRD#

Customer

This Letter of Consent shall be subject to the Rules of the Exchange, as amended from time to time, and shall remain in effect until revoked in writing by the Clearing Member.

Clearing Member (Broker-Dealer Name)

Clearing Member (NSCC Clearing #)

Signature of Authorized Officer, Partner or
Managing Member of **Clearing Member**

Signature of Authorized Officer, Partner or
Managing Member, or Sole Proprietor of **Member**

Print Name / Title

Print Name / Title

Date

Date

Please return this form via email to crs@nyse.com.