

Information Memo



11 Wall Street
New York, NY 10005

Trading Technology

June 29, 2009

TO: ALL MEMBERS, MEMBER ORGANIZATIONS
and Vendors Interfacing with the Common Customer GatewaySM (CCG)

SUBJECT: New "Done for Day" Subscription Service Update

Route To: Business Leaders, Technology and Operations Officers, Trading
Desks and Order Rooms

New "Done for Day" Subscription Service

As previously announced, the NYSE will introduce a new subscription service on a Firm mnemonic basis that will return an unsolicited end of day "UROUT" message for all unexecuted "Day" orders sent to SDBK. The SDBK system will automatically generate a "Done for Day" UROUT message to subscribing firms when either a) a closing sale has been disseminated or b) at the symbol's designated cutoff time. Member Firms are being advised in advance so they can prepare for this change in the event you decide to subscribe to this service.

In order to support this new service, the following technical changes are required:

1. OrdStatus <Tag 39> will be populated with a new value of "3" representing "Done for Day".
2. ExecType <Tag 150> will be populated with a new value of "3" representing "Done for Day".
3. ExecTransType <Tag 20> will be populated with the existing value "0".
4. Text <Tag 58> will return the verbiage "Done for Day".

The “Done for Day” service will not initially be supported for open “Day” orders sent to NYSE BBSS[®] or an NYSE e-Broker[™] handheld; however plans are in progress to support this service in a future NYSE Broker systems release.

We anticipate this new service being available for most symbols in the September '09 timeframe. A future notification will provide the details and contact information on how to activate this new service.

Summary

If you have any business questions relating to the upcoming enhancement, please contact your NYSE Relationship Manager or Robert Airo at (212) 656-5663.

For technical questions and/or testing arrangements, please contact our Service Desk at 1-866-873-7422 or send an email to support@nyx.com.