

# NYSE Texas Brokerplex® and NYSE Pillar Trade Ops Portal User Request and Authorization Form

## Authorization

Firm acknowledges and agrees that its use of Brokerplex® and NYSE Trade Ops Portal (together, the “tools”) is: (1) governed by the Terms of Use available at [https://www.ice.com/publicdocs/nyse/markets/nyse/NYSE\\_Tools\\_Terms\\_of\\_Use.pdf](https://www.ice.com/publicdocs/nyse/markets/nyse/NYSE_Tools_Terms_of_Use.pdf) and that by executing this User Request and Authorization, Firm affirms Firm’s review of, understanding of and assent to the Terms of Use; (2) the individuals listed below may access and use the tools on behalf of Firm (each a “User”); (3) if a User has left the Firm or is no longer authorized to use the tools, the Firm must immediately notify [crs@nyse.com](mailto:crs@nyse.com); and (4) Firm is responsible for the acts of User.

## General Information

Institutional Broker (IB) Firm Name: \_\_\_\_\_  
Business Address: \_\_\_\_\_  
City: \_\_\_\_\_ State: \_\_\_\_\_ Zip: \_\_\_\_\_  
Business Phone: \_\_\_\_\_ CRD #: \_\_\_\_\_

## User

**Complete the Global ID Request and Two-Factor Authentication Registration process here:**

<https://sso.theice.com/#pageRequestGlobalId>

First/Last Name: \_\_\_\_\_  
Global ID (Email Address): \_\_\_\_\_  
IBR/Clerk Participant ID: \_\_\_\_\_

By default, all MPIDs are assigned. If only specific MPIDs are required, please specify below:

Specific MPIDs: \_\_\_\_\_

### Brokerplex User Type

Broker  Clerk

### Brokerplex Permissions:

All Actions  No Prop  No Agency  AutoEx/Refresh

## Pillar Trade Ops Portal

**Please select each module\* the above User should have access to:**

Trades Viewer  Volumes Viewer

\*User will be permissioned for selected modules as they become available.

## Authorization and Acceptance\*

**\*Signatory must be on file via the NYSE Pillar Trade Ops Portal - Authorized Administrators form available here:**  
[https://www.nyse.com/publicdocs/nyse/NYSE\\_Pillar\\_Trade\\_Ops\\_Portal\\_Authorized\\_Administrators.pdf](https://www.nyse.com/publicdocs/nyse/NYSE_Pillar_Trade_Ops_Portal_Authorized_Administrators.pdf)

By (Signature) \_\_\_\_\_ Date: \_\_\_\_\_  
Print Name: \_\_\_\_\_  
Title: \_\_\_\_\_  
Phone: \_\_\_\_\_ Email: \_\_\_\_\_

Completed User Request and Authorization Form should be returned via email to [crs@nyse.com](mailto:crs@nyse.com).