I. Background

NYSE has introduced a new Application for Membership that allows prospective Applicant Firms to apply to any or all of our Exchanges using one form. This same form should also be completed for existing Member Firms seeking to add a new type of business to any NYSE Exchange of which they are currently a Member.

II. What’s Changing?

Membership Applications across all NYSE Exchanges have been condensed into one Application for Membership. This allows for one all-inclusive review per Application received.

Dual NYSE and FINRA Members, who are required to submit a CMA as part of any material change to a Member’s business, must also complete the Application for Membership with the NYSE, following the guidelines and checklists within.

III. Membership Information and Documents

Membership Information including the most recent Applications and Forms can always be found on each market’s Membership webpage.

- NYSE: https://www.nyse.com/markets/nyse/membership
- NYSE Chicago: https://www.nyse.com/markets/nyse-chicago/membership
- NYSE National: https://www.nyse.com/markets/nyse-national/membership
- NYSE Arca Options: https://www.nyse.com/markets/arca-options/membership
- NYSE American Options: https://www.nyse.com/markets/american-options/membership
- NYSE Arca Equities: https://www.nyse.com/markets/nyse-arca/membership
IV. Staff Contacts

Questions related to this Memo may be addressed to Client Relationship Services at crs@nyse.com