



NYSE ARCA EQUITIES

# CLIENT MANAGEMENT TOOL

Client Management Tool (CMT) is an online web tool that provides the ability to view and manage same day orders placed on the NYSE Arca Equities exchange.

## CMT allows User to:

- View Order Status: (New/Open, Filled, Cancelled)
- View Specific Order Parameters (Symbol, Time, Type, Execution Instructions)
- Select Individual Orders to Cancel
- Send Bulk Cancels (By ETPID, Symbol and/or Specific Fix or Arcadirect Connections)

## View Order Screen

The screenshot shows a web browser window titled "Client Management Tool - Windows Internet Explorer" with the URL "https://cmtool.nyse.com". The title bar also displays "NYSE Euronext Client Management Tool - Arca Equities". Below the title bar, there are two tabs: "View Orders" (which is selected) and "Bulk Cancel". The main content area is a table with columns labeled: Select, Status, Time, Symbol, Side, Size, Price, Leaves, Domain, Sender Co, OnBehalf, Order Typ, ClOrdID, EE, Bid, Ask, Session, TIF, Capacity, and ExTime. There are several rows of data in the table, and at the bottom, it says "No records". Above the table, there are various search and filter options including fields for Client Order ID, Symbol, ETP ID, Start Time (08:00:00), End Time (23:59:59), and Domain. There are dropdown menus for Sender Comp ID, Side, Execution Instruction, Time In Force, Trading Platform (Equities), OrderTypes, and Status. Buttons include "Search", "Select All", "Deselect All", and "Cancel Order(s)". The status bar at the bottom of the browser window shows "©2012 NYSE Euronext. All Rights Reserved."

## Bulk Cancel Screen

The screenshot shows a web browser window titled "Client Management Tool - Windows Internet Explorer" with the URL "https://cmtool.nyse.com". The title bar also displays "NYSE Euronext Client Management Tool - Arca Equities". Below the title bar, there are two tabs: "View Orders" (selected) and "Bulk Cancel". A tooltip box titled "Bulk Cancel Functionality" appears over the "ETP Id" field. It contains the following text:

- Applies to all ETPID's configured for this user unless specific ETPID is entered.  
Example: Symbol -> IBM will cancel all orders in all ETPID's associated with your user account.
- Applies to all open orders in the book at the time of submission.
- Includes any incoming orders received after the initial bulk cancel query is returned.
- Confirm cancelled orders via View Orders Tab -> Status Cancelled

Below the tooltip, there is a form with fields for ETP Id, Symbol, Domain, and Sender Comp ID. Buttons include "Bulk Cancel" and "Clear". The status bar at the bottom of the browser window shows "©2012 NYSE Euronext. All Rights Reserved."

## Registration/Set Up

CMT is a Flash (Flex) based application that runs in a web browser via HTTPS. While it is not necessary to download/install any third party software on your local machine, you will need to download the Flash Player plug-in (version 10), as well as a browser certificate verifying the email address used for all credentials.

## Further info

### NYSE Arca Trade Support Desk

888-513-9873

[trading@nyx.com](mailto:trading@nyx.com)

### Client Relationship Services (CRS)

1-888-689-7739 (Option 3)

[CRS@nyx.com](mailto:CRS@nyx.com)

CMT User Request Form:

<http://www.nyse.com/pdfs/CMTUserRequest.pdf>

CMT Setup/Quick Reference Guide:

<http://www.nyse.com/pdfs/CMTQuickRef.pdf>

### Access

Access to the CMT application is free of charge and logon is linked to your current ArcaVision account/password. Once your CMT documentation is approved, you will receive an email containing your CMT credentials (email certificate validation). Getting access to CMT for the first time is a two step process:

1. **ArcaVision:** If you are new to ArcaVision, you will also receive a separate email with "Welcome to ArcaVision" which contains your username and instructions for creating a password.

2. **Comodo Email Certificate Validation:** you will receive an email invitation which contains a link to download the browser certificate which validates your email address.

In addition, due to the sensitive nature of the data, you will need to complete a CMT user agreement. All functionality and specific accessibility is set up by firm in user agreement.