

PRE-LOYALTY PROGRAM LISTING FEES*

NYSE Arca listed Exchange Traded Products Fees

ETF & ETV Listing Fees

Shares Outstanding	Index Shares	Active Shares
Less than 25 million shares	\$ 7,500	\$ 10,000
25 to 49.99 million shares	\$ 10,000	\$ 12,500
50 to 99.99 million shares	\$ 15,000	\$ 20,000
100 to 249.99 million shares	\$ 20,000	\$ 25,000
250 to 499.99 million shares	\$ 25,000	\$ 30,000
500 million shares and over	\$ 30,000	\$ 30,000

ETN Listing Fees

Shares Outstanding	Index Shares
Less than 6 million shares	\$ 10,000
6 to 6.99 million shares	\$ 12,000
7 to 7.99 million shares	\$ 14,000
8 to 8.99 million shares	\$ 16,000
9 to 9.99 million shares	\$ 18,000
10 to 14.99 million shares	\$ 20,000
15 to 24.99 million shares	\$ 25,000
25 to 49.99 million shares	\$ 42,000
50 million shares and over	\$ 55,000

*Contact us to learn more about the discounts available under the NYSE Loyalty Program
 NYSE Fee Filing: www.nyse.com/publicdocs/nyse/markets/nyse-arca/NYSE_Arca_Listing_Fee_Schedule.pdf

OTHER NYSE ARCA FEES

All the fees below are per application

Type of Action	Fee
Technical Original Listing Fee*	\$ 2,500
Name Change	\$ 2,500
Symbol Change	\$ 2,500
Reverse/Forward Stock Split	\$ 2,500
19b-4 Filing**	\$ 7,500

**Technical Original Listing*

Would occur as a result of a change in state of incorporation, reincorporation under the laws of same state, reserve stock split, recapitalization, creation of a holding company or new company by operation of law or through an exchange offer, or similar events affecting the nature of a listed security. The fee applies if the change in the issuer's status is technical in nature and the shareholders of the original issuer receive or retain a share-for-share interest in the new issuer without any change in their equity position or rights.

***Limitation on Listing Fees*

If three or more issues of Exchange Traded Products, other than Generically-Listed Exchange Traded Products, are issued by the same issuer and are listed on the Exchange in the same calendar year, such issues shall be subject to an aggregate maximum listing fee of \$22,500 for all such listed issues combined.

NYSE Fee Filing: www.nyse.com/publicdocs/nyse/markets/nyse-arca/NYSE_Arca_Listing_Fee_Schedule.pdf

