



NYSE Arca ETP Listing Rules

Commonly used NYSE Arca ETP Listing Rules:

- (1) [NYSE Arca Rule 5.2-E\(j\)\(3\)](#) - *Investment Company Units (Passively Managed ETFs)*
- (2) [NYSE Arca Rule 5.2-E \(6\)](#) - *Equity Index-Linked Securities, Commodity-Linked Securities, Currency-Linked Securities, Fixed Income Index-Linked Securities, Futures-Linked Securities and Multifactor Index-Linked Securities (ETNs)*
- (3) [NYSE Arca Rule 5.2-E\(j\)\(8\)](#) - *Exchange-Traded Fund Shares (ETFs relying on adherence to SEC Rule 6c-11)*
- (4) [NYSE Arca Rule 8.200-E](#) - *Trust Issued Receipts*
- (5) [NYSE Arca Rule 8.201-E](#) - *Commodity-Based Trust Shares*
- (6) [NYSE Arca Rule 8.600-E](#) - *Managed Fund Shares (Actively Managed ETFs)*
- (7) [NYSE Arca Rule 8.601-E](#) - *Active Proxy Portfolio Shares (Active Semi-Transparent ETFs)*
- (8) [NYSE Arca Rule 8.900-E](#) - *Managed Portfolio Shares (Active Semi-Transparent ETFs)*

NYSE Arca Rules Book: <https://nysearcaguide.srorules.com/rules>

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