

# NYSE ARCA LISTING FEES: INDEXED & ACTIVELY MANAGED ETFs/ETVS

## Initial Listing Fee (per fund)

| Type of Listing                         | Initial Listing Fees |
|---|----------------------|
| Listing Under Generic Listing Standards | None                 |
| Listing Pursuant to a 19b-4 Filing      | \$7,500              |

- All transfers are not subject to Initial Listing Fees.
- If the same issuer lists three or more ETFs/ETVs pursuant to a 19b-4 Filing in the same calendar year, the issuer will be subject to a maximum \$22,500 Initial Listing Fee.

# NYSE ARCA LISTING FEES: INDEXED ETFS/ETVS

## Annual Listing Fee (per fund)

| Shares Outstanding           | Annual Listing Fees |
|------------------------------|---------------------|
| Less than 25 million shares  | \$5,000             |
| 25 to 49.99 million shares   | \$7,500             |
| 50 to 99.99 million shares   | \$10,000            |
| 100 to 249.99 million shares | \$15,000            |
| 250 to 499.99 million shares | \$20,000            |
| 500 million shares and over  | \$25,000            |

- Annual Listing Fees are prorated.
- An issuer that transfers its listing to NYSE Arca will not be subject to the prorated Annual Listing Fee for the remainder of the calendar year.

# NYSE ARCA LISTING FEES: ACTIVELY MANAGED ETFs/ETVS

## Annual Listing Fee (per fund)

| Shares Outstanding           | Annual Listing Fees |
|------------------------------|---------------------|
| Less than 25 million shares  | \$ 7,500            |
| 25 to 49.99 million shares   | \$10,000            |
| 50 to 99.99 million shares   | \$12,500            |
| 100 to 249.99 million shares | \$20,000            |
| 250 to 499.99 million shares | \$30,000            |
| 500 million shares and over  | \$40,000            |

- Annual Listing Fees are prorated.
- An issuer that transfers its listing to NYSE Arca will not be subject to the prorated Annual Listing Fee for the remainder of the calendar year.