

**NYSE BONDS – CLEARING LETTER OF CONSENT FOR SPONSORED PARTICIPANTS**  
**TO BE COMPLETED BY CLEARING MEMBER AND/OR THE SPONSORING MEMBER OF THE SPONSORED FIRM.**

The undersigned hereby represents the clearing agency named below, a member of the National Securities Clearing Corporation (“NSCC”) or Fixed Income Clearing Corporation (“FICC”), as applicable, and an approved Member or Member Organization in good standing of the New York Stock Exchange LLC (“NYSE”).

This letter of guarantee/letter of authorization is to only be utilized for the Sponsored relationship of the Sponsored Participant defined within.

The Sponsored Participant will clear in the same manner as the Sponsoring Member or Member Organization as outlined below:

\_\_\_\_\_  
Sponsored Participant

\_\_\_\_\_  
CRD# and/or SEC File# (if applicable)

\_\_\_\_\_  
Sponsoring Member

\_\_\_\_\_  
CRD# and/or SEC File#

\_\_\_\_\_  
Clearing Member

\_\_\_\_\_  
NSCC Clearing Firm#

The Sponsored Participant will clear through a third party Clearing Member, as set forth below.

The undersigned Clearing Agent hereby agrees to accept full financial responsibility for all transactions effected through and carried in all accounts held by the following NYSE Bonds User:

\_\_\_\_\_  
Sponsored Participant

\_\_\_\_\_  
CRD# and/or SEC File# (if applicable)

\_\_\_\_\_  
Clearing Member (Broker-Dealer Name)

\_\_\_\_\_  
Sponsoring Member (Broker-Dealer Name)

\_\_\_\_\_  
Clearing Member (NSCC Clearing Firm#)

\_\_\_\_\_  
Signature of Authorized Officer, Partner or  
Managing Member of Clearing Member Firm

\_\_\_\_\_  
Signature of Authorized Officer, Partner or  
Managing Member, or Sole Proprietor of Sponsoring Member Firm

\_\_\_\_\_  
Print Name / Title

\_\_\_\_\_  
Print Name / Title

\_\_\_\_\_  
Date

\_\_\_\_\_  
Date