

NYSE Arca Options

TERMINATION OF ORDER SENDING/CLEARING OPTIONS TRADING PERMIT ("OTP")

To: NYSE Client Relationship Services Department ("CRS")

From: _____
OTP Firm

Re: _____
OTPID **Acronym(s)**

Type of OTP:

Clearing OTP Order Sending OTP

Date of Termination: _____ (Close of Business)

Temporary Termination
 – Date of Return: _____

Permanent Termination

Authorized Signature of OTP Firm **Date**

Checklist for Terminating an Order Sending/Clearing Firm OTP

1. OTP Firms must notify the Exchange no later than one (1) business day in advance of the proposed termination date.
2. Temporary terminations cannot exceed 180 days.