

# NYSE Arca Options

## MARKET MAKER LETTER OF GUARANTEE

### Pursuant to NYSE Arca Options (“the Exchange”) Rule 6-O and 5.32-O

Dear Market Maker:

You have represented to the undersigned Options Trading Permit (“OTP”) Clearing Firm, a member of the Options Clearing Corporation (“OCC”), that you are a registered market maker pursuant to Exchange Rule 6.33-O. You have requested the undersigned OTP Clearing Firm to issue a Letter of Guarantee for you in order to enable you to meet the requirements of Exchange Rule 6.36-O.

In response to your request, the undersigned OTP Clearing Firm hereby agrees to accept full financial responsibility:

- For all Exchange options transactions [as defined in Exchange Rule 6.1-O(b)(6)] made by you\*
- For all Flex Options transactions [as defined in Exchange Rule 5.32-O made by you\*

*\*Check all that apply.*

This letter shall be deemed to be a Letter of Guarantee pursuant to Exchange Rule 6.36-O and may be relied upon by the Exchange, the OCC and their respective members. This Letter of Guarantee shall be subject to the Exchange Rules as amended from time to time, and shall remain in effect until revoked.

Accepted and agreed to this \_\_\_\_\_ day of \_\_\_\_\_, 20 \_\_\_\_.

\_\_\_\_\_  
OTP Clearing Firm Name

\_\_\_\_\_  
OTP Firm Name

\_\_\_\_\_  
OTP Clearing Firm Representative (Please Print)

\_\_\_\_\_  
Market Maker (Please Print)

\_\_\_\_\_  
Authorized Signature of OTP Clearing Firm

\_\_\_\_\_  
Authorized Signature of Market Maker

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OTP Clearing Firm authorization for electronic collection of Exchange invoices

Name on OCC Account \_\_\_\_\_

OCC Account Number \_\_\_\_\_