

NYSE Arca Options - Designated Give-up Notification Form

1. Options Trading Permit ("OTP") Firm Information

OTP Firm Name		CRD #	
Business Contact Name			
Business Contact Phone and E-mail Address			

2. Give-up Information

The above referenced OTP Firm requests NYSE Arca Options ("the Exchange") to enable (or disable) give-ups for the following OTP Clearing Firms <i>(NOTE: Please check the appropriate column/box to indicate whether the give up is to be enabled or disabled).</i>				Enable Give Up	Disable Give Up
OTP Clearing Firm Name:		OCC #:		<input type="checkbox"/>	<input type="checkbox"/>
OTP Clearing Firm Name:		OCC #:		<input type="checkbox"/>	<input type="checkbox"/>
OTP Clearing Firm Name:		OCC #:		<input type="checkbox"/>	<input type="checkbox"/>
OTP Clearing Firm Name:		OCC #:		<input type="checkbox"/>	<input type="checkbox"/>
OTP Clearing Firm Name:		OCC #:		<input type="checkbox"/>	<input type="checkbox"/>
OTP Clearing Firm Name:		OCC #:		<input type="checkbox"/>	<input type="checkbox"/>
OTP Clearing Firm Name:		OCC #:		<input type="checkbox"/>	<input type="checkbox"/>
OTP Clearing Firm Name:		OCC #:		<input type="checkbox"/>	<input type="checkbox"/>

OTP Firm represents that it has appropriate policies and procedures applicable to the clearing and settlement of transactions executed pursuant to this agreement and Exchange Rule 6.15-O.

OTP Firm represents that it has a valid Clearing Letter of Consent or Letter of Authorization on file with the Exchange.

Print Name and Title of Authorized Signatory			
Signature		Date	

Please return to Client Relationship Services via email CRS@nyse.com.