

NYSE Arca GEMS Request Form

NYSE Arca Options agrees to provide access to its Global Execution Management System ("GEMS") to the company set forth below ("Company"). **Company acknowledges and agrees that** (1) the individual/s listed below may access and use GEMS on behalf of the Company (each a "GEMS user"); (2) it must notify NYSE Arca Options immediately if a GEMS User has left the Company or is no longer authorized to use GEMS and (3) that Company is responsible for any acts of such GEMS User access until notice has been provided to NYSE Arca. Notifications should be sent via e-mail to sfoptionstradeprocessing@nyx.com.

General Information

Firm Name: _____
Business Address: _____
City: _____ State: _____ Zip Code: _____ Phone: _____

GEMS User

First/Last Name: _____ E-Mail Address: _____ Phone: _____
 Read Only Read/Modify All OTP IDs Specific OTP IDs: _____

GEMS User

First/Last Name: _____ E-Mail Address: _____ Phone: _____
 Read Only Read/Modify All OTP IDs Specific OTP IDs: _____

GEMS User

First/Last Name: _____ E-Mail Address: _____ Phone: _____
 Read Only Read/Modify All OTP IDs Specific OTP IDs: _____

GEMS User

First/Last Name: _____ E-Mail Address: _____ Phone: _____
 Read Only Read/Modify All OTP IDs Specific OTP IDs: _____

GEMS User

First/Last Name: _____ E-Mail Address: _____ Phone: _____
 Read Only Read/Modify All OTP IDs Specific OTP IDs: _____

GEMS User

First/Last Name: _____ E-Mail Address: _____ Phone: _____
 Read Only Read/Modify All OTP IDs Specific OTP IDs: _____

Authorization and Acceptance of User Terms Above

Signature Required: _____ Print name: _____ Title: _____
Phone: _____ E-mail Address: _____ Date: _____

Questions about the use of this form may be directed to SF Options Trade Processing at (415) 954-5519. Completed Arca GEMS User Request and Authorization forms acknowledging the User Requirements above must be returned to SF Options Trade Processing either via scanning and e-mailing to sfoptionstradeprocessing@nyx.com, or via fax to (415) 393-5969.