



## **Regulatory Informational Bulletin**

**RBO-Amex-12-07  
June 13, 2012**

**TO: All ATP Holders and Associated Persons**  
**FROM: NYSE Regulation and NYSE Amex Floor Operations**  
**SUBJECT: Standards of Dress and Conduct on the NYSE Amex Options Floor**

NYSE Regulation, in conjunction with NYSE Amex Options Floor Operations, is issuing this reminder that pursuant to Exchange Rule 902NY(c), all ATP Holders, associated persons and their employees (collectively "ATP Holders") on the Options Trading Floor, are required to adhere to the standards of dress and conduct as outlined in NYSE Floor Conduct and Safety Guidelines. Adherence to these guidelines and policies will be strictly enforced, up to and including formal disciplinary action.

### **Dress Code**

All persons, while present on the Options Trading Floor, shall at all times be dressed in a manner appropriate for business purposes and in accordance with good taste and professional standards.

### **Male Personnel**

A dress shirt, buttoned at collar, with a dress tie knotted at the customary place, full length dress trousers or slacks, and a jacket with long sleeves. Jeans or other sports slacks are not permitted. An acceptable jacket shall include a suit, sport coat, blazer or trading jacket.

### **Female Personnel**

Skirts and dresses should be worn at appropriate business lengths. Pants and slacks should be full length dress slacks. Jeans, leggings, sports slacks, or abbreviated length pants are not permitted. When slacks are worn, a jacket with long sleeves must be worn. An acceptable jacket shall include a suit, sport coat, blazer or trading jacket. All blouses, shirts, sweaters and tops should be of appropriate style and shall exclude casual sportswear of any kind.

ATP Holders are also reminded that they shall not, while on the Options Trading Floor and on other premises under Exchange control, engage in any act or practice that may be detrimental to the interest or welfare of the Exchange, or engage in any act or practice which may serve to disrupt or hinder the ordinary and efficient conduct of business, nor engage in any act or practice which may serve to jeopardize the safety or welfare of any other individual. ATP Holders and their guests are expected to conduct themselves in a manner that is appropriate to maintaining a professional and business-like atmosphere on the Floor.

**Failure to comply with the NYSE Amex Options standards of dress and conduct may result in denial of access to the Options Trading Floor.**