



INDEX OPTIONS

Information Circular

#14-0001 Derivative Securities

Date **January 31, 2014**

To **ATP Firms**

From **Tom Heebner - Director, Options**

Subject **Semi Annual Review: Position & Exercise Limits on Index Options**

In accordance with NYSE MKT Rules and Constitution, the Exchange is required to review all options on stock indexes to determine the appropriate position and exercise limits. The review is based on end of day weightings. These position limits are effective **Monday, February 3, 2014**.

Below is a list of the position and exercise limits for all options on stock indexes traded at the Exchange:

| <u>Broad-based Indexes</u> | <u>Symbol</u> | <u>Limit</u> |
|--|---------------|--------------|
| Morgan Stanley Cyclical with no more than 15,000 in the nearest expiration month. | CYC | 25,000 |
| KBW Bank Index | BKX | 44,000 |

Questions concerning this circular may be directed to: Michael Babel - (212) 656-4744. Copies of this and other Information Circulars may be accessed at the NYSE Amex Options site at:

<http://www.nyse.com/futuresoptions/nyseamex/1235993278482.html>