

NYSE Arca and NYSE Amex Options CMT User Request and Authorization

NYSE ArcaSM CMT Authorization

NYSE Arca agrees to provide access to its Client Management Tool ("CMT") to the company set forth below ("Company"). Company acknowledges and agrees that (1) its use of CMT is governed by the Terms of Use available at <https://www.arcavision.com> or <https://cmttool.nyx.com> and in the case of Arca options products, the NYSE Arca User Agreement between NYSE Arca and Company and in the case of NYSE Amex Options LLC products, the NYSE MKT LLC User Agreement between NYSE Amex Options LLC and Company (2) the individuals listed below may access and use CMT on behalf of the Company (each a "CMT User"), (3) it must immediately notify NYSE Arca if a CMT User has left the Company or is no longer authorized to use CMT and (4) that Company is responsible for any acts of such CMT User until notice has been provided to NYSE Arca. Notifications should be sent via email to crs@nyx.com.

General Information

Firm Name: _____
Business Address: _____
City: _____ State: _____ Zip: _____
Business Phone: _____ CRD #: _____

CMT User

First/Last Name: _____
Email Address: _____
 CMT Customer User
 CMT Market Maker User All OTP (ARCA) IDs All ATP (AMEX) IDs
 CMT Clerk User Specific OTP/ATP ID(s) : _____

CMT User

First/Last Name: _____
Email Address: _____
 CMT Customer User
 CMT Market Maker User All OTP (ARCA) IDs All ATP (AMEX) IDs
 CMT Clerk User Specific OTP/ATP ID(s) : _____

CMT User

First/Last Name: _____
Email Address: _____
 CMT Customer User
 CMT Market Maker User All OTP (ARCA) IDs All ATP (AMEX) IDs
 CMT Clerk User Specific OTP/ATP ID(s) : _____

*If additional users are required, please attach multiple copies of this form.

Authorization and Acceptance

By (Signature) _____
Name: _____
Title: _____
Phone: _____
Email Address: _____
Date: _____

Completed CMT User Request and Authorization forms should be returned via email to crs@nyx.com.