

NYSE American Options

TERMINATION OF MARKET MAKER

To: NYSE American Options Client Relationship Services Department ("CRS")

From: _____
American Trading Permit ("ATP") Clearing Firm

Date of termination: _____ (Close of Business)

Temporary Termination*

Date of Return: _____

Permanent Termination **

Market Maker: _____ Acronym: _____

ATP Firm: _____

The ATP Clearing Firm will continue to accept full financial responsibility for all Exchange options transactions (as defined in Exchange Rule 900NY) made in the account of the above-specified Market Maker, until such time as all positions in the account have been liquidated and a Letter of Guarantee Revocation has been issued and accepted by the Exchange.

Authorized Signature
ATP Clearing Firm

Date

Print Name

Title

** ATP Firm must notify the Exchange no later than one (1) full business day in advance of the proposed temporary termination date. A Temporary Termination cannot exceed 180 days.*

Trade activity in a market maker account that is subject to a Temporary Termination must be limited to "closing only" transactions. Closing only shall generally mean submission of orders for the purpose of closing positions or reducing risk. Trade activity in terminated accounts may be subject to regulatory review.

*** A Letter of Guarantee Revocation is required to be filed with CRS after all accounts have cleared.*