

NYSE American Options (“The Exchange”)

MARKET MAKER LETTER OF GUARANTEE

Dear Market Maker:

You have represented to the undersigned NYSE American Trading Permit (“ATP”) Clearing Firm, a member of the Options Clearing Corporation (“OCC”), that you are a registered market maker pursuant to Exchange Rule 921NY. You have requested the undersigned ATP Clearing Firm to issue a Letter of Guarantee for you in order to enable you to meet the requirements of Exchange Rule 924NY.

In response to your request, the undersigned ATP Clearing Firm hereby agrees to accept full financial responsibility:

- For all Exchange options transactions [as defined in Exchange Rule 900.2NY(23)] made by you*
- For all Flex Options transactions [as defined in Exchange 924NY (d)] made by you*

**Check all that apply.*

This letter shall be deemed to be a Letter of Guarantee pursuant to Exchange Rule 924NY and may be relied upon by the Exchange, the OCC and their respective members. This Letter of Guarantee shall be subject to the Exchange Rules as amended from time to time, and shall remain in effect until revoked.

Accepted and agreed to this _____ day of _____, 20 _____.

ATP Clearing Firm Name

ATP Firm Name

ATP Clearing Firm Representative (Please Print)

Market Maker (Please Print)

Authorized Signature of ATP Clearing Firm

Authorized Signature of Market Maker

ATP Clearing Firm authorization for electronic collection of Exchange invoices for the above symbol:

Name on OCC Account _____

OCC Account Number _____