

NYSE American Options - Designated Give-up Notification Form

1. American Trading Permit ("ATP") Firm Information

ATP Firm Name		CRD #	
Business Contact Name			
Business Contact Phone and E-mail Address			

2. Give-up Information

The above referenced ATP Firm requests NYSE American Options ("the Exchange") to enable (or disable) give-ups for the following ATP Clearing Firms <i>(NOTE: Please check the appropriate column/box to indicate whether the give up is to be enabled or disabled).</i>				Enable Give Up	Disable Give Up
ATP Clearing Firm Name:		OCC #:		<input type="checkbox"/>	<input type="checkbox"/>
ATP Clearing Firm Name:		OCC #:		<input type="checkbox"/>	<input type="checkbox"/>
ATP Clearing Firm Name:		OCC #:		<input type="checkbox"/>	<input type="checkbox"/>
ATP Clearing Firm Name:		OCC #:		<input type="checkbox"/>	<input type="checkbox"/>
ATP Clearing Firm Name:		OCC #:		<input type="checkbox"/>	<input type="checkbox"/>
ATP Clearing Firm Name:		OCC #:		<input type="checkbox"/>	<input type="checkbox"/>
ATP Clearing Firm Name:		OCC #:		<input type="checkbox"/>	<input type="checkbox"/>
ATP Clearing Firm Name:		OCC #:		<input type="checkbox"/>	<input type="checkbox"/>

ATP Firm represents that it has appropriate policies and procedures applicable to the clearing and settlement of transactions executed pursuant to this agreement and Exchange Rule 961.

ATP Firm represents that it has a valid Clearing Letter of Consent or Letter of Authorization on file with the Exchange.

Print Name and Title of Authorized Signatory		
Signature		Date

Please return to Client Relationship Services via email CRS@nyse.com.