

NYSE MKT

CHECKLIST FOR ORIGINAL LISTING APPLICATION

Company Name: _____

GENERAL

_____ Listing Application

_____ Listing Agreement

_____ Officers and Directors Worksheet

_____ Corporate Governance Certification Form

_____ Underwriter's Letter (for Initial Public Offerings)

_____ SEC Form ____ (8-A, 10, 40-F, 20-F)

_____ Other: _____