

NYSE MKT LLC Equities Proprietary Market Data Fees

As of November 1, 2016, unless otherwise noted

NYSE MKT Integrated Feed

Access Fee:	\$2,500/month
Professional User Fee (Per User):	\$10/month
Non-Professional User Fee (Per User):	\$2/month
Non-Display Fee ¹	
Category 1:	\$5,000/month
Category 2:	\$5,000/month
Category 3:	\$5,000/month, capped at \$15,000
Redistribution Fee:	\$1,500/month
Non-Display Declaration Late Fee:	\$1,000/month ²
Multiple Data Feed Fee:	\$200/month ⁵

NYSE MKT OpenBook

Access Fee	\$1,000/month
Professional User Fee (Per User):	\$5/month
Non-Professional User Fee (Per User):	\$1/month
Non-Professional Cap:	\$20,000/month
Non-Display Fee ¹	
Category 1:	\$2,000/month
Category 2:	\$2,000/month
Category 3:	\$2,000/month, capped at \$6,000
Non-Display Declaration Late Fee:	\$1,000/month ²
Multiple Data Feed Fee:	\$200/month ⁵

NYSE MKT BBO

Access Fee	\$750/month
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Professional User Fee (Per User):	\$1/month
Non-Professional User Fee (Per User):	\$0.05/month
Non-Display Fee	
Category 1:	\$500/month
Category 2:	\$500/month
Category 3:	\$500/month, capped at \$1,500
Non-Display Declaration Late Fee:	\$1,000/month ²
Enterprise Fee:	\$3,000/month ⁴
Multiple Data Feed Fee:	\$200/month ⁵
Decommission Extension Fee:	\$5,000/month ³
NYSE MKT Trades	
Access Fee:	\$750/month
Digital Media Enterprise Fee:	\$5,000/month
Redistribution Fee:	\$750/month
Professional User Fee (Per User):	\$1/month
Non-Professional User Fee (Per User):	\$0.05/month
Non-Display Fee ¹	
Category 1:	\$1,500/month
Category 2:	\$1,500/month
Category 3:	\$1,500/month, capped at \$4,500
Non-Display Declaration Late Fee:	\$1,000/month ²
Enterprise Fee:	\$3,000/month ⁴
Multiple Data Feed Fee:	\$200/month ⁵
Decommission Extension Fee:	\$5,000/month ³
NYSE MKT Order Imbalances	
Access Fee:	\$500/month

Non-Display Fee ¹	
Category 1:	\$500/month
Category 2:	\$500/month
Category 3:	\$500/month, capped at \$1,500

Non-Display Declaration Late Fee: \$1,000/month²

Multiple Data Feed Fee: \$200/month⁵

Decommission Extension Fee: \$5,000/month⁶

General

Access fees, professional user fees and non-display fees do not apply to Federal agencies that subscribe to the Products listed on this schedule that include such fees.

¹ (a) Category 1 Fees apply when a data recipient's Non-Display Use of real-time market data is on its own behalf as opposed to use on behalf of its clients. Category 2 Fees apply when a data recipient's Non-Display Use of real-time market data is on behalf of its clients as opposed to use on its own behalf. Category 3 Fees apply when a data recipient's Non-Display Use of real-time market data is for the purpose of internally matching buy and sell orders within an organization, including matching customer orders on a data recipient's own behalf and/or on behalf of its clients.

(b) Non-Display Use fees for NYSE MKT Integrated Feed include, for data recipients also paying access fees for NYSE MKT BBO, NYSE MKT Trades, NYSE MKT OpenBook and NYSE MKT Order Imbalances, the Non-Display Use for such products when declared within the same category of use.

(c) Non-Display Use fees for NYSE MKT OpenBook include, for data recipients also paying access fees for NYSE MKT BBO and NYSE MKT Order Imbalances, the Non-Display Use for such products when declared within the same category of use.

² A data recipient that is paying the Access Fee and that fails to timely complete and submit a Non-Display Use Declaration must pay the Non-Display Declaration Late Fee. With respect to the Non-Display Use Declaration that was due by September 1, 2014 and that applies to NYSE MKT OpenBook, NYSE MKT BBO, NYSE MKT Trades and NYSE MKT Order Imbalances, the Non-Display Declaration Late Fee applies to data recipients that have not completed and submitted the Non-Display Use Declaration by June 30, 2015, and applies beginning July 1, 2015 and for each month thereafter until the data recipient has completed and submitted the Non-Display Use Declaration. With respect to the annual Non-Display

Use Declaration due by January 31st of each year beginning in 2016, the Non-Display Declaration Late Fee will apply to data recipients that fail to complete and submit the annual Non-Display Use Declaration by the January 31st due date, and applies beginning February 1st and for each month thereafter until the data recipient has completed and submitted the annual Non-Display Use Declaration. The Non-Display Declaration Late Fee will apply, beginning in 2017, to NYSE MKT Integrated Feed data recipients that fail to complete and submit the annual Non-Display Use Declaration by the January 31st due date, and applies beginning February 1st and for each month thereafter until the data recipient has completed and submitted the annual Non-Display Use Declaration.

³ The Decommission Extension Fee applies to data recipients who have requested to receive the legacy version of this data feed during the extension period. The extension period will begin on July 1, 2016 and expire on September 1, 2016, at which time the legacy version of the data feed will no longer be available.

⁴ A single Enterprise Fee will apply for clients receiving both NYSE MKT BBO and NYSE MKT Trades.

⁵ The Multiple Data Feed Fee applies to data recipients that take a data feed for a market data product in more than two locations, and applies, with respect to each market data product, to each location, beyond the first two locations, where a data recipient receives a data feed.

⁶ The Decommission Extension Fee applies to data recipients who have requested to receive the legacy version of this data feed during the extension period. The extension period will begin on March 1, 2017 and expire on April 28, 2017, at which time the legacy version of the data feed will no longer be available.