

## NYSE Arca Options Proprietary Market Data Fees

As of July 3, 2023

### Arca Options Product<sup>1</sup>

Access Fee:	\$3,000/month
Redistribution Fee:	\$2,000/month
Professional User Fee (Per User):	\$50/month
Non-Professional User Fee (Per User) (Charged to Redistributor):	\$1/month
Non-Professional User Fee Cap:	\$5,000/month
Non-Display Fee <sup>2</sup>	
Category 1:	\$5,000/month <sup>3</sup>
Category 2:	\$5,000/month <sup>3</sup>
Category 3:	\$5,000/month, capped at \$15,000
Non-Display Declaration Late Fee:	\$1,000/month <sup>4</sup>

### Arca Options Complex

Access Fee:	\$1,500/month
Redistribution Fee:	\$1,000/month
Professional User Fee (Per User):	\$20/month
Non-Professional User Fee (Per User) (Charged to Redistributor):	\$1/month
Non-Display Fee <sup>2</sup>	
Category 1:	\$1,000/month <sup>3</sup>
Category 2:	\$1,000/month <sup>3</sup>
Category 3:	\$1,000/month, capped at \$3,000
Non-Display Declaration Late Fee:	\$1,000/month <sup>4</sup>
Multiple Data Feed Fee:	\$200/month <sup>5</sup>

## **NYSE Options Open-Close Volume Summary**

End of Day Volume Summary \$750/month

Intra-Day Volume Summary \$2,000/month

Mid-Month Subscriptions. New subscribers will be charged for the full calendar month for which they subscribe and will be provided NYSE Options Open-Close Volume Summary data for each trading day of the calendar month in which they subscribed.

End of Day Volume Summary Ad-hoc Request (historical data) \$600 per request per month. An ad-hoc request can be for any number of months beginning with December 2018 for which the data is available.

Intra-Day Volume Summary Ad-hoc Request (historical data) \$1,000 per request per month. An ad-hoc request can be for any number of months beginning with August 2022 for which the data is available.

## **General**

Access fees, professional user fees and non-display fees do not apply to Federal agencies that subscribe to the Products listed on this schedule that include such fees.

## **Billing Disputes**

All disputes concerning fees and credits billed by the Exchange must be submitted to the Exchange in writing and must be accompanied by supporting documentation. All disputes must be submitted no later than ninety (90) days after receipt of a billing invoice.

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<sup>1</sup> Includes Arca Options Top, Arca Options Deep and Arca Options Complex products.

<sup>2</sup> Category 1 Fees apply when a data recipient's Non-Display Use of real-time market data is on its own behalf as opposed to use on behalf of its clients. Category 2 Fees apply when a data recipient's Non-Display Use of real-time market data is on behalf of its clients as opposed to use on its own behalf. Category 3 Fees apply when a data recipient's Non-Display Use of real-time market data is for the purpose of internally matching buy and sell orders within an organization, including matching customer orders on a data recipient's own behalf and/or on behalf of its clients.

<sup>3</sup> Data recipients will not be liable for Category 2 Non-Display fees for which they are also paying Category 1 Non-Display fees.

<sup>4</sup> A data recipient that is paying the Access Fee and that fails to timely complete and submit a Non-Display Use Declaration must pay the Non-Display Declaration Late Fee. With respect to the Non-Display Use Declaration that was due by September 1, 2014, the Non-Display Declaration Late Fee applies to data recipients that have not completed and submitted the Non-Display Use Declaration by June 30, 2015, and applies beginning July 1, 2015 and for each month thereafter until the data recipient has completed and submitted the Non-Display Use Declaration. With respect to the annual Non-Display Use Declaration due by January 31<sup>st</sup> of each year beginning in 2016, the Non-Display Declaration Late Fee will apply to data recipients that fail to complete and submit the annual Non-Display Use Declaration by the January 31<sup>st</sup> due date, and applies beginning February 1<sup>st</sup> and for each month thereafter until the data recipient has completed and submitted the annual Non-Display Use Declaration.

<sup>5</sup> The Multiple Data Feed Fee applies to data recipients that take a data feed for a market data product in more than two locations, and applies, with respect to each market data product, to each location, beyond the first two locations, where a data recipient receives a data feed.