

NYSE American Options Proprietary Market Data Fees

As of July 24, 2017

American Options Product¹

Access Fee: \$3,000/month

Redistribution Fee: \$2,000/month

Professional User Fee (Per User): \$50/month

Non-Professional User Fee (Per User)
(Charged to Redistributor): \$1/month

Non-Professional User Fee Cap: \$5,000/month

Non-Display Fee²

Category 1: \$5,000/month³
Category 2: \$5,000/month³
Category 3: \$5,000/month, capped at \$15,000

Non-Display Declaration Late Fee: \$1,000/month⁴

American Options Complex

Access Fee: \$1,500/month

Redistribution Fee: \$1,000/month

Professional User Fee (Per User): \$20/month

Non-Professional User Fee (Per User)
(Charged to Redistributor): \$1/month

Non-Display Fee²

Category 1: \$1,000/month³
Category 2: \$1,000/month³
Category 3: \$1,000/month, capped at \$3,000

Non-Display Declaration Late Fee: \$1,000/month⁴

Multiple Data Feed Fee: \$200/month⁵

General

Access fees, professional user fees and non-display fees do not apply to Federal agencies that subscribe to the Products listed on this schedule that include such fees.

¹ Includes American Options Top, American Options Deep and American Options Complex products.

² Category 1 Fees apply when a data recipient's Non-Display Use of real-time market data is on its own behalf as opposed to use on behalf of its clients. Category 2 Fees apply when a data recipient's Non-Display Use of real-time market data is on behalf of its clients as opposed to use on its own behalf. Category 3 Fees apply when a data recipient's Non-Display Use of real-time market data is for the purpose of internally matching buy and sell orders within an organization, including matching customer orders on a data recipient's own behalf and/or on behalf of its clients.

³ Data recipients will not be liable for Category 2 Non-Display fees for which they are also paying Category 1 Non-Display fees.

⁴ A data recipient that is paying the Access Fee and that fails to timely complete and submit a Non-Display Use Declaration must pay the Non-Display Declaration Late Fee. With respect to the Non-Display Use Declaration that was due by September 1, 2014, the Non-Display Declaration Late Fee applies to data recipients that have not completed and submitted the Non-Display Use Declaration by June 30, 2015, and applies beginning July 1, 2015 and for each month thereafter until the data recipient has completed and submitted the Non-Display Use Declaration. With respect to the annual Non-Display Use Declaration due by January 31st of each year beginning in 2016, the Non-Display Declaration Late Fee will apply to data recipients that fail to complete and submit the annual Non-Display Use Declaration by the January 31st due date, and applies beginning February 1st and for each month thereafter until the data recipient has completed and submitted the annual Non-Display Use Declaration.

⁵ The Multiple Data Feed Fee applies to data recipients that take a data feed for a market data product in more than two locations, and applies, with respect to each market data product, to each location, beyond the first two locations, where a data recipient receives a data feed.