

NYSE American LLC Equities Proprietary Market Data Fees

As of July 24, 2017, unless otherwise noted

NYSE American Integrated Feed

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|---------------------------------------|-----------------------------------|
| Access Fee: | \$2,500/month |
| Professional User Fee (Per User): | \$10/month |
| Non-Professional User Fee (Per User): | \$2/month |
| Non-Display Fee ¹ | |
| Category 1: | \$5,000/month |
| Category 2: | \$5,000/month |
| Category 3: | \$5,000/month, capped at \$15,000 |
| Redistribution Fee: | \$1,500/month |
| Non-Display Declaration Late Fee: | \$1,000/month ² |
| Multiple Data Feed Fee: | \$200/month ⁵ |

NYSE American OpenBook

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|---------------------------------------|----------------------------------|
| Access Fee | \$1,000/month |
| Professional User Fee (Per User): | \$5/month |
| Non-Professional User Fee (Per User): | \$1/month |
| Non-Professional Cap: | \$20,000/month |
| Non-Display Fee ¹ | |
| Category 1: | \$2,000/month |
| Category 2: | \$2,000/month |
| Category 3: | \$2,000/month, capped at \$6,000 |
| Non-Display Declaration Late Fee: | \$1,000/month ² |
| Multiple Data Feed Fee: | \$200/month ⁵ |

NYSE American BBO

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|------------|-------------|
| Access Fee | \$750/month |
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|---------------------------------------|----------------------------------|
| Professional User Fee (Per User): | \$1/month |
| Non-Professional User Fee (Per User): | \$0.05/month |
| Non-Display Fee | |
| Category 1: | \$500/month |
| Category 2: | \$500/month |
| Category 3: | \$500/month, capped at \$1,500 |
| Non-Display Declaration Late Fee: | \$1,000/month ² |
| Enterprise Fee: | \$3,000/month ⁴ |
| Multiple Data Feed Fee: | \$200/month ⁵ |
| Decommission Extension Fee: | \$5,000/month ³ |
| NYSE American Trades | |
| Access Fee: | \$750/month |
| Digital Media Enterprise Fee: | \$5,000/month |
| Redistribution Fee: | \$750/month |
| Professional User Fee (Per User): | \$1/month |
| Non-Professional User Fee (Per User): | \$0.05/month |
| Non-Display Fee ¹ | |
| Category 1: | \$1,500/month |
| Category 2: | \$1,500/month |
| Category 3: | \$1,500/month, capped at \$4,500 |
| Non-Display Declaration Late Fee: | \$1,000/month ² |
| Enterprise Fee: | \$3,000/month ⁴ |
| Multiple Data Feed Fee: | \$200/month ⁵ |
| Decommission Extension Fee: | \$5,000/month ³ |
| NYSE American Order Imbalances | |
| Access Fee: | \$500/month |

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|------------------------------|--------------------------------|
| Non-Display Fee ¹ | |
| Category 1: | \$500/month |
| Category 2: | \$500/month |
| Category 3: | \$500/month, capped at \$1,500 |

Non-Display Declaration Late Fee: \$1,000/month²

Multiple Data Feed Fee: \$200/month⁵

Decommission Extension Fee: \$5,000/month⁶

General

Access fees, professional user fees and non-display fees do not apply to Federal agencies that subscribe to the Products listed on this schedule that include such fees.

¹ (a) Category 1 Fees apply when a data recipient's Non-Display Use of real-time market data is on its own behalf as opposed to use on behalf of its clients. Category 2 Fees apply when a data recipient's Non-Display Use of real-time market data is on behalf of its clients as opposed to use on its own behalf. Category 3 Fees apply when a data recipient's Non-Display Use of real-time market data is for the purpose of internally matching buy and sell orders within an organization, including matching customer orders on a data recipient's own behalf and/or on behalf of its clients.

(b) Non-Display Use fees for NYSE American Integrated Feed include, for data recipients also paying access fees for NYSE American BBO, NYSE American Trades, NYSE American OpenBook and NYSE American Order Imbalances, the Non-Display Use for such products when declared within the same category of use.

(c) Non-Display Use fees for NYSE American OpenBook include, for data recipients also paying access fees for NYSE American BBO and NYSE American Order Imbalances, the Non-Display Use for such products when declared within the same category of use.

² A data recipient that is paying the Access Fee and that fails to timely complete and submit a Non-Display Use Declaration must pay the Non-Display Declaration Late Fee. With respect to the Non-Display Use Declaration that was due by September 1, 2014 and that applies to NYSE American OpenBook, NYSE American BBO, NYSE American Trades and NYSE American Order Imbalances, the Non-Display Declaration Late Fee applies to data recipients that have not completed and submitted the Non-Display Use Declaration by June 30, 2015, and applies beginning July 1, 2015 and for each month thereafter until the data recipient has completed and submitted the Non-Display Use Declaration. With respect to the

annual Non-Display Use Declaration due by January 31st of each year beginning in 2016, the Non-Display Declaration Late Fee will apply to data recipients that fail to complete and submit the annual Non-Display Use Declaration by the January 31st due date, and applies beginning February 1st and for each month thereafter until the data recipient has completed and submitted the annual Non-Display Use Declaration. The Non-Display Declaration Late Fee will apply, beginning in 2017, to NYSE American Integrated Feed data recipients that fail to complete and submit the annual Non-Display Use Declaration by the January 31st due date, and applies beginning February 1st and for each month thereafter until the data recipient has completed and submitted the annual Non-Display Use Declaration.

³ The Decommission Extension Fee applies to data recipients who have requested to receive the legacy version of this data feed during the extension period. The extension period will begin on July 1, 2016 and expire on September 1, 2016, at which time the legacy version of the data feed will no longer be available.

⁴ A single Enterprise Fee will apply for clients receiving both NYSE American BBO and NYSE American Trades.

⁵ The Multiple Data Feed Fee applies to data recipients that take a data feed for a market data product in more than two locations, and applies, with respect to each market data product, to each location, beyond the first two locations, where a data recipient receives a data feed.

⁶ The Decommission Extension Fee applies to data recipients who have requested to receive the legacy version of this data feed during the extension period. The extension period will begin on March 1, 2017 and expire on April 28, 2017, at which time the legacy version of the data feed will no longer be available.