



## Exhibit A Questionnaire

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Thank you for your interest in NYSE data. This questionnaire is intended to simplify user application requirements while furnishing customers and data providers with the information needed to sanction the release of market data. Please follow the instructions below and answer all questions accurately and thoroughly to ensure timely processing of your market data request(s).

Customers should complete a separate questionnaire for each market data source (or consult your NYSE account manager for further instruction). One questionnaire may include several sites utilizing a single market data vendor source. If more than one location/environment exists with different levels or controls, a separate questionnaire must be completed for that location.

All customers who wish to receive market data via a data feed are required to complete and submit this Exhibit A. In addition, NYSE requires:

1. Executed copy of the Vendor Agreement (if currently not on file)
2. Datafeed request(s) submitted by your data provider to NYSE on your behalf

All required documents should be executed and returned to your account manager or:

New York Stock Exchange LLC  
Attn: Market Data Services  
11 Wall Street, 15<sup>th</sup> Floor  
New York, NY 10005  
Fax (212) 656-5922

**Exhibit A to the Vendor Agreement for receipt and use of  
CONSOLIDATED NETWORK A DATA AND NYSE MARKET DATA dated**

\_\_\_\_\_

**Between New York Stock Exchange LLC and**

\_\_\_\_\_

**(Name of organization)**

**1. Company Information:**

Website Address: _____	Main Phone Number: _____
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**2. Datafeed installation:**

<b>Installation Address</b>	<b>Billing Address (if different than install address)</b>
_____ Street Address (P.O. Box number not accepted)	_____ Street Address (P.O. Box number not accepted)
_____ Street Address	_____ Street Address
_____ City, State, Province, Postal Code	_____ City, State, Province, Postal Code, Country
_____ Country	_____ Billing Contact Name

**3. Method of Access & Source of Data**

<b>Vendor Information</b>
<b>Vendor Name:</b> _____
<b>Vendor Service:</b> _____
<b>Vendor Account Number(s):</b> _____

**EXHIBIT A - NYSE TRADES FOR DIGITAL MEDIA**

## SECTION I - Contact Information

Customer/Subscriber must provide contact information for at least one **MAIN**, one **BILLING**, one **COMPLIANCE**, one **TECHNICAL** and one **REPORTING** contact for the purposes of addressing any appropriate notification. If any type of market data is to be received through direct access to any data provider's facilities, technical and emergency contact(s) should be designated.

Main: <input type="checkbox"/> Billing: <input type="checkbox"/> Compliance: <input type="checkbox"/> Reporting: <input type="checkbox"/> Technical: <input type="checkbox"/> Other (specify): _____	First Name _____ Last Name _____ Title _____ Phone _____ Facsimile _____ E-mail _____ Address where contact is located <input type="checkbox"/> Installation <input type="checkbox"/> Billing <input type="checkbox"/> Other (please specify) Street Address _____ City _____ State _____ Province _____ Country _____ Postal Code _____
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## SECTION II - Use of NYSE, NYSE Arca, NYSE MKT Trades

*\* This section to be completed only if you intend to make real-time NYSE market data available INTERNALLY (to employees).*

Type of Data Receiving	Redistribute as Display Service*
<input type="checkbox"/> NYSE TRADES	<input type="checkbox"/>
<input type="checkbox"/> NYSE ARCA TRADES	<input type="checkbox"/>
<input type="checkbox"/> NYSE MKT TRADES	<input type="checkbox"/>

\*Vendors may display NYSE, NYSE ARCA and/or NYSE MKT Trades data on their web pages/web properties and/or other digital media. Export functions such as DDE links, APIs etc. are not permitted. Please provide name, address, contact and URL of subsidiary or affiliate organizations that will consume or display this data as a separate attachment.

<input type="checkbox"/>	Check this box to confirm that a screen shot and a brief explanation of the service has been submitted	URL:	
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## SECTION III - Distribution Method and Fees

<input type="checkbox"/>	<b>Digital Media Enterprise Fee</b> Vendors offering NYSE, NYSE Arca and/or NYSE MKT Trades via unlimited digital media services DO NOT need to report <b>NYSE will bill your firm a fixed monthly enterprise fee</b>
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EXHIBIT A - NYSE TRADES FOR DIGITAL MEDIA

## Certification

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Customer acknowledges that NYSE may be subject to internal policies, laws and regulations that govern and restrict the collection, storage, processing, disclosure or use of any information that identifies or can be used to identify, contact or precisely locate the person or legal entity to whom such information pertains or from which identification or contact information of an individual person or legal entity can be derived ("Personal Information"), including, but not limited to, any Personal Information relating to the Customer, Customer's affiliates and each of their respective customers, suppliers and personnel. NYSE's Privacy Policy is located here:

<https://www.intercontinentalexchange.com/privacy-policy>. Where Customer is subject to the data protection laws and regulations of the European Union ("EU"), the European Economic Area ("EEA") and/or any Member State thereof (including the United Kingdom in the event that the United Kingdom is no longer part of the EU or EEA), Switzerland and/or Singapore, Customer acknowledges and agrees that certain additional terms and conditions set out in NYSE's Privacy Policy and other documents in connection with the collection, storage, processing, disclosure, access, review and/or use of such Personal Information are applicable to the Vendor Agreement and Exhibit A. Where Customer provides Personal Information to NYSE for purposes of providing the Services ("Customer's Personal Information"), NYSE shall act as a service provider with respect to such Customer's Personal Information. NYSE shall process Customer's Personal Information consistent with NYSE's Privacy Policy and unless Customer provides prior written approval, NYSE shall not collect, retain, use, disclose, or sell Customer's Personal Information for any purpose other than performing the Services pursuant to the Vendor Agreement and this Exhibit A, enabling NYSE to meet its legal and regulatory requirements, marketing NYSE's products and services, or product improvement and development. Specifically with respect to Customers or one or more of its affiliates which provide Personal Information to NYSE that is subject to European Data Protection Laws (as defined in the Additional Terms), the Additional Terms located here:

[https://www.theice.com/publicdocs/Additional\\_Terms\\_EU\\_Subscribers.pdf](https://www.theice.com/publicdocs/Additional_Terms_EU_Subscribers.pdf) shall be incorporated into and form part of this Exhibit A and, in the event of conflict with any other terms of the Vendor Agreement or Exhibit A, shall prevail over such terms.

I certify that the information provided in this questionnaire is accurate and the data will only be used in the manner outlined in this exhibit. I also understand that I may be asked to provide further information related to the entitlement system and/or its audit function and that failure to comply with NYSE requirements may result in the cancellation/termination of NYSE market data services and NYSE reserves the right to bill retroactively for any improprieties/discrepancies which are deemed non-compliant.

Signature: \_\_\_\_\_

Title: \_\_\_\_\_

Print Name: \_\_\_\_\_

Date Completed: \_\_\_\_\_