



Exhibit A – Real-Time Reference Prices

Thank you for your interest in Real-Time Reference Prices. This questionnaire is intended to simplify user application requirements while furnishing customers and data providers (aka “vendors”) with the information needed to sanction the release of market data. Please follow the instructions below and answer all questions accurately and thoroughly to ensure timely processing of your market data request(s).

Customers need to complete a separate questionnaire for each market data source. One questionnaire may include several sites provided each site operates on the same network, utilizes a single market data vendor source and is clearly identified. If more than one location/environment exists with different levels or controls, a separate questionnaire must be completed for that location.

All customers and vendors who wish to receive market data via an uncontrolled data feed are required to complete and submit this Exhibit A. In addition, NYSE requires:

1. Executed copy of the Vendor Agreement (if currently not on file)
2. Executed Copy of the Exhibit C for Real-time Reference Prices
3. Screen Shot of the Service you will provide
4. A Real-Time Reference Prices data feed request submitted by your vendor to NYSE on your behalf
5. Network Diagram Overview

Be sure all names and dates are consistent across all documents/contracts. All documents/contracts can be found on our website, www.nyxdata.com. All required documents should be executed and returned to your account manager or:

New York Stock Exchange LLC
Attn: Market Data Services
11 Wall Street, 15th Floor
New York, NY 10005
Fax (212) 656-5922

Exhibit A to the Vendor Agreement for receipt and use of NYSE Market Data dated

between New York Stock Exchange LLC and

(Name of Vendor/Organization)

SECTION 1 - Data Source and Location(s)

1. Method of Access and Type of ArcaBook Data (check all that apply)

Method of Access	Vendor Information
<input type="checkbox"/> Direct from NYSE (SIAC/SFTI) <input type="checkbox"/> Indirect through a Vendor (Third Party)	Vendor Name: _____ Vendor Service: _____ Vendor Account Number: _____

2. Please indicate the type of data you would like to receive:

Type of Real-Time Reference Prices (RRP) Data	
<input type="checkbox"/> NYSE RRP	(includes only Tape A listed securities executed on NYSE)
<input type="checkbox"/> NYSE Arca RRP	(includes Tapes A (NYSE), B (NYSE MKT) and C (Nasdaq) listed securities executed on Arca)
<input type="checkbox"/> NYSE MKT RRP	(includes Tape B (NYSE MKT) listed securities executed on NYSE MKT)

SECTION 2 – Distribution & Use of NYSE, NYSE Arca & NYSE MKT RRP Market Data

1. How do you intend to use RRP Market Data?

Type of Data	Redistribute as Read-Only Display Service*		Redistribute as Data feed Service
	Per Quote	Unlimited	
<input type="checkbox"/> NYSE RRP	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
<input type="checkbox"/> NYSE Arca RRP	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
<input type="checkbox"/> NYSE MKT RRP	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

*Vendors may display RRP on any of their web pages/web properties. Export functionality such as DDE links, API's, etc. are not permitted. **Please provide name, address, contact and URL of subsidiary or affiliate organizations that will consume or display this data, as a separate attachment.**

Check this box to confirm that a screen shot and brief explanation of the service has been submitted.

Please provide the url: _____

Check here to acknowledge you have submitted a Network Diagram

2. Usage-Based/Per-Quote Subscribers and Quote Meter Information

Vendors who want to redistribute RRP data on a per quote basis may do so provided their system is able to correctly identify and count real-time quote packets for the various data providers (e.g., NYSE, NYSE MKT, NASDAQ, OPRA, etc.). A "quote packet" is identified as any or all of the following data elements for a single issue: last, open, high, low and volume. ("IBM" is a single issue.) The quote meter (log file) should identify and store fee liable quotes only during NYSE market hours.

Usage-Based/Quote Meter Audit Requirements & Attestation

An audit/review of the quote meter must be performed within 90 days after the start of service and annually thereafter. Please refer to the NYSE's Quote Meter Audit Guidelines (www.nyxdata.com) for information and requirements regarding quote meter audits.

NOTE: If using a quote meter, it must be clearly represented in your network/system diagram.

Check here to indicate your understanding of and agreement to comply with NYSE's Quote Meter Audit requirements.

SECTION 3 – Reporting Obligations & Method

1. Reporting Obligations

All users/services containing RRP data must be reported[†] to the NYSE on a monthly basis. In addition, vendors are required to maintain records indicating the company name, installation address and date(s) the service began/terminated. Please check the box(es) corresponding to reporting requirements applicable to your use of the data:

<input type="checkbox"/>	Digital Media Enterprise Fee Vendors offering Real-time Reference Prices via an unlimited digital media service <u>DO NOT</u> need to report. <i>*NYSE will bill your firm a fixed monthly enterprise fee per month</i>
<input type="checkbox"/>	USAGE-BASED (per quote) Reporting Vendors providing usage-based services will be required to report the total number of quotes requested that month. <i>*NYSE will use this information to invoice your firm directly</i>
<input type="checkbox"/>	DATA FEEDS Vendors providing Realtime Reference Prices via data feeds will be required to obtain approval from NYSE prior to providing data to subscribers. Vendors will be required to maintain records indicating the company name, installation address, data product and date that service began or was terminated. RRP information may only be used for the purpose of providing the RRP service. No other use or distribution of data, either internally or externally, is permitted.

2. Reporting Method

There are several ways a vendor can report its data feed customers. Please select the method of reporting to be used:

- NYSE Reporting Website** - Report directly via NYSE website (www.nyxdata.com/reporting).
- File-based Reporting** - Report to NYSE via file based reporting - VRXML file
- Third Party Reporting Facilitator:** _____

NOTE: Vendors may use third party reporting facilitators to report. However, the vendor is contractually obligated to guarantee reporting is timely and accurate. Failure to comply may result in fees and/or loss of service.

SECTION 4 - Contact Information

Customer/subscriber must provide contact information for at least one **MAIN**, one **BILLING**, one **TECHNICAL** and one **REPORTING** contact for the purposes of addressing any appropriate notification. If any type of market data is to be received through direct access to any data provider's facilities, technical and emergency contact(s) should be designated.

Main: <input type="checkbox"/> Billing: <input type="checkbox"/> Compliance: <input type="checkbox"/> Reporting: <input type="checkbox"/> Technical: <input type="checkbox"/> Other (specify): _____	First Name _____ Last Name _____ Title _____ Phone _____ Facsimile _____ E-mail _____ Address where contact is located <input type="checkbox"/> Installation <input type="checkbox"/> Billing <input type="checkbox"/> Other (please specify) Street Address _____ City _____ State _____ Province _____ Country _____ Postal Code _____
Main: <input type="checkbox"/> Billing: <input type="checkbox"/> Compliance: <input type="checkbox"/> Reporting: <input type="checkbox"/> Technical: <input type="checkbox"/> Other (specify): _____	First Name _____ Last Name _____ Title _____ Phone _____ Facsimile _____ E-mail _____ Address where contact is located <input type="checkbox"/> Installation <input type="checkbox"/> Billing <input type="checkbox"/> Other (please specify) Street Address _____ City _____ State _____ Province _____ Country _____ Postal Code _____
Main: <input type="checkbox"/> Billing: <input type="checkbox"/> Compliance: <input type="checkbox"/> Reporting: <input type="checkbox"/> Technical: <input type="checkbox"/> Other (specify): _____	First Name _____ Last Name _____ Title _____ Phone _____ Facsimile _____ E-mail _____ Address where contact is located <input type="checkbox"/> Installation <input type="checkbox"/> Billing <input type="checkbox"/> Other (please specify) Street Address _____ City _____ State _____ Province _____ Country _____ Postal Code _____

I certify that the information provided in this questionnaire is accurate and the data will only be used in the manner outlined in this exhibit. I also understand that I may be asked to provide further information related to the entitlement system and/or its audit function and that failure to comply with NYSE requirements may result in the cancellation/termination of NYSE market data services and NYSE reserves the right to bill retroactively for any improprieties/discrepancies which are deemed non-compliant.

Signature: _____

Title: _____

Print Name: _____

Date Completed: _____