



Exhibit A Questionnaire

Thank you for your interest in NYSE data. This questionnaire is intended to simplify user application requirements while furnishing customers and data providers with the information needed to sanction the release of market data. Please follow the instructions below and answer all questions accurately and thoroughly to ensure timely processing of your market data request(s).

Customers should complete a separate questionnaire for each market data source (or consult your NYSE account manager for further instruction). One questionnaire may include several sites utilizing a single market data vendor source. If more than one location/environment exists with different levels or controls, a separate questionnaire must be completed for that location.

All customers who wish to receive market data via a data feed are required to complete and submit this Exhibit A. In addition, NYSE requires:

1. Executed copy of the Vendor Agreement (**if currently not on file**)
2. Datafeed request(s) submitted by your data provider to NYSE on your behalf

All required documents should be executed and returned to your account manager or:

New York Stock Exchange LLC
Attn: Market Data Services
11 Wall Street, 15th Floor
New York, NY 10005

**Exhibit A to the Vendor Agreement for receipt and use of
NYSE MARKET DATA dated**

Between New York Stock Exchange LLC and

(Name of organization)

1. Company Information:

Website Address: _____	Main Phone Number: _____
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2. Datafeed installation:

Installation Address	Billing Address (if different than install address)
Street Address (P.O. Box number not accepted)	Street Address (P.O. Box number not accepted)
Street Address	Street Address
City, State, Province, Postal Code	City, State, Province, Postal Code, Country
Country	Billing Contact Name

3. Method of Access & Source of Data

Vendor Information
Vendor Name: _____
Vendor Service: _____
Vendor Account Number(s): _____

EXHIBIT A - NYSE ALERTS

SECTION I - Contact Information

Customer/Subscriber must provide contact information for at least one **MAIN**, one **BILLING**, one **COMPLIANCE**, one **TECHNICAL** and one **REPORTING** contact for the purposes of addressing any appropriate notification. If any type of market data is to be received through direct access to any data provider's facilities, technical and emergency contact(s) should be designated.

Main: <input type="checkbox"/>	First Name _____ Last Name _____ Title _____
Billing: <input type="checkbox"/>	Phone _____ Facsimile _____ E-mail _____
Compliance: <input type="checkbox"/>	Address where contact is located <input type="checkbox"/> Installation <input type="checkbox"/> Billing <input type="checkbox"/> Other (please specify)
Reporting: <input type="checkbox"/>	Street Address _____ City _____ State _____
Technical: <input type="checkbox"/>	Province _____ Country _____ Postal Code _____
Other (specify): _____	

SECTION II - Use of NYSE Alerts Data

1. Please indicate the type of data you would like to receive.

Type of Data	Real-time Data
NYSE Alerts	<input type="checkbox"/>

2. Please Indicate how you plan to use and/or redistribute NYSE Alerts data

- a. Internal Display Service* N/A
- b. External Redistribution (to non-employees) N/A

Type of Data	Redistribute as Data feed Service	Redistribute as Display Service
NYSE Alerts	<input type="checkbox"/>	<input type="checkbox"/>

SECTION III - Reporting Obligations

*Display Services containing NYSE Alerts data do not need to be reported to the NYSE

**Datafeed service containing NYSE Alerts data must be reported to the NYSE on a monthly basis

Vendors providing NYSE and NYSE MKT Alerts data feeds will be required to obtain approval from NYSE prior to providing the data. Vendors will be required to maintain records indicating the company name, installation address and date that service began/terminated

1. Reporting Method

Please select the method of reporting you will use:

- NYSE Reporting Website**
Report directly on the NYSE website
** This option is highly recommended for vendors who do not have a large professional subscriber base.*
- File-based Reporting**
Report to NYSE via file based reporting - VRXML file
** This option is highly recommended for vendors who have a large professional subscriber base.*
- Third Party Reporting Facilitator**

Explain:

Note: Vendors may use third party facilitators to report. However, the vendor is contractually obligated to guarantee reporting is timely and accurate. Failure to comply may result in fees and/or loss of service.

Certification

Customer acknowledges that NYSE may be subject to internal policies, laws and regulations that govern and restrict the collection, storage, processing, disclosure or use of any information that identifies or can be used to identify, contact or precisely locate the person or legal entity to whom such information pertains or from which identification or contact information of an individual person or legal entity can be derived ("Personal Information"), including, but not limited to, any Personal Information relating to the Customer, Customer's Affiliates and each of their respective customers, suppliers and personnel. NYSE's Privacy Policy is located here:

<https://www.intercontinentalexchange.com/privacy-policy>. Where the Customer is incorporated in any member state of the European Economic Area, Customer acknowledges and agrees that certain additional terms and conditions set out in NYSE's Privacy Policy and other documents in connection with the collection, storage, processing, disclosure, access, review and/or use of such Personal Information are applicable to the Vendor Agreement and Exhibit A. Specifically with respect to Customers who provide EU Personal Information to NYSE, the Additional Terms located here: https://www.theice.com/publicdocs/Additional_Terms_EU_Subscribers.pdf shall be incorporated into and form part of this Exhibit A and, in the event of conflict with any other terms of the Vendor Agreement or Exhibit A, shall prevail over such terms.

I certify that the information provided in this questionnaire is accurate and the data will only be used in the manner outlined in this exhibit. I also understand that I may be asked to provide further information related to the entitlement system and/or its audit function and that failure to comply with NYSE requirements may result in the cancellation/termination of NYSE market data services and NYSE reserves the right to bill retroactively for any improprieties/discrepancies which are deemed non-compliant.

Signature: _____

Title: _____

Print Name: _____

Date Completed: _____