



## Exhibit A - Historical Use of Real-Time NYSE Proprietary Data

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All Customers who wish to license for NYSE Historical Use of Real-Time Market Data are required to complete and submit this Exhibit A. In addition, NYSE requires an executed copy of the Vendor Agreement **(if currently not on file)**

All required documents should be executed and returned to your account manager.

### **Policy**

The Historical Use of Real-Time NYSE Proprietary Data Products policy can be found under Policy Documentation.

[nyse.com/market-data/pricing-policies-contracts-guidelines](https://nyse.com/market-data/pricing-policies-contracts-guidelines)

**Exhibit A to the Vendor Agreement for receipt and use of  
CONSOLIDATED NETWORK A DATA AND NYSE MARKET DATA dated**

(Insert date of Vendor Agreement)

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**Between New York Stock Exchange LLC and**

(Insert Name of Organization)

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**NYSE Account Number:** \_\_\_\_\_ (if known)

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**SECTION I - Contact Information**

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Please provide contact information for at least one **MAIN**, one **BILLING**, and one **REPORTING** contact for the purposes of addressing any appropriate notification.

Main Contact	
<b>Company Name</b>	
<b>First Name</b>	<b>Last Name</b>
<b>Phone</b>	<b>Email</b>
<b>Street Address</b>	<b>City</b>
<b>State</b>	<b>Zip/Postal code</b>

Billing Contact	
<b>First Name</b>	<b>Last Name</b>
<b>Phone</b>	<b>Email</b>
Address same as Main Contact <input type="checkbox"/>	
<b>Street Address</b>	<b>City</b>
<b>State</b>	<b>Zip/Postal code</b>

Reporting Contact	
<b>First Name</b>	<b>Last Name</b>
<b>Phone</b>	<b>Email</b>
Address same as Main Contact <input type="checkbox"/>	
<b>Street Address</b>	<b>City</b>
<b>State</b>	<b>Zip/Postal code</b>

## SECTION II - Use of Historical Real-Time NYSE Proprietary Data

<b>Real-time Market Data Provider</b>	Please provide your current Market Data Provider (if applicable)
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Please make your product selection(s) below		External Usage			Subscriber Usage
		Data feed	Display Usage		One-Time Purchase Data for Specific Date Range (Available in Monthly Intervals)
US EQUITIES	Internal Usage		Professional Subscriber	Non-Professional Requires Exhibit B	
					From: Month/Year to Month/Year
<b>NYSE</b>					
Integrated Feed	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/> MM/YYYY to MM/YYYY
OpenBook	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/> MM/YYYY to MM/YYYY
BBO	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/> MM/YYYY to MM/YYYY
Trades	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/> MM/YYYY to MM/YYYY
Order Imbalances	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/> MM/YYYY to MM/YYYY
<b>NYSE ARCA</b>					From: Month/Year to Month/Year
Arca Integrated Feed	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/> MM/YYYY to MM/YYYY
ArcaBook	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/> MM/YYYY to MM/YYYY
Arca BBO	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/> MM/YYYY to MM/YYYY
Arca Trades	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/> MM/YYYY to MM/YYYY
Arca Order Imbalances	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/> MM/YYYY to MM/YYYY
<b>NYSE American</b>					From: Month/Year to Month/Year
American Integrated Feed	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/> MM/YYYY to MM/YYYY
American OpenBook	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/> MM/YYYY to MM/YYYY
American BBO	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/> MM/YYYY to MM/YYYY
American Trades	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/> MM/YYYY to MM/YYYY
American Order Imbalances	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/> MM/YYYY to MM/YYYY
<b>US OPTIONS</b>					From: Month/Year to Month/Year
American Options	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/> MM/YYYY to MM/YYYY
American Options Complex Order Book	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/> MM/YYYY to MM/YYYY
Arca Options	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/> MM/YYYY to MM/YYYY
Arca Options Complex Order Book	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/> MM/YYYY to MM/YYYY
<b>US INDICES</b>					From: Month/Year to Month/Year
NYSE Global Index Feed	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/> MM/YYYY to MM/YYYY
<b>GLOBAL OTC</b>					From: Month/Year to Month/Year
OTC - BBO	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/> MM/YYYY to MM/YYYY
OTC - Integrated Feed	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/> MM/YYYY to MM/YYYY
<b>QUOTES &amp; TRADES (BQT)</b>					From: Month/Year to Month/Year
Best Quotes and Trades	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/> MM/YYYY to MM/YYYY

**For External Redistributors of Historical Use Real-Time Market Data**

In the space below, please provide a detailed description of the services you plan to offer to your External Subscribers

**SECTION III – Service Facilitators and Customer Affiliates**

**1. Service Facilitators**

A Service Facilitator is a person or organization that assists the customer(s) in processing or disseminating market data. Provide the name(s) and address of each service facilitator and describe the functions performed on your behalf by each service facilitator.

Not Applicable

Facilitators (include Full Name & Address)	Function
Enter Full Name & Address	

Will any service facilitator(s) have access to this data?  Yes  No

If Yes, please explain:

**2. Customer Affiliates**

Provide the name(s) and address of each customer affiliate to be covered by this agreement. You will be responsible for reporting and payment for any affiliates covered by this Exhibit A. If more space is needed, please attach a separate sheet.

Not Applicable

Affiliates (include Full Legal Name & Address)	Function
Enter Full Legal Name & Address	

Will any customer affiliate(s) have access to this data?  Yes  No

If Yes, please explain the controlling relationship between the entities:

## **Entitlement & Reporting Obligations**

For External Redistributors of data:

1. NYSE requires Customers to have an entitlement control system in place that can generate a monthly entitlement report. For more information, please see [NYSE Entitlement Control Policy](#).
2. NYSE requires Customers to submit inventory reports for the services/products whose entitlement they control. This report should be submitted to NYSE each month.
3. Customers with Subscribers that are already reported as being in receipt of a real-time product do not need to report them as Historical Use Subscribers. For example, if a Customer's Subscriber is in receipt of a display device for real-time Openbook and Historical Use Openbook, then that Customer only reports the receipt of real-time Openbook. However, if Historical Openbook for a display device is the only data received by the Subscriber, then that Customer is required to report the Historical Use of Openbook data.

## **Certification**

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**I certify that the information provided in this Exhibit is accurate and the data will only be used in the manner outlined. I also understand that failure to comply with NYSE requirements may result in the cancellation/termination of NYSE market data services and NYSE reserves the right to bill retroactively for any improprieties/discrepancies which are deemed non-compliant.**

**Signature:** \_\_\_\_\_

**Title:** \_\_\_\_\_

**Print Name:** \_\_\_\_\_

**Date:** \_\_\_\_\_