

NYSE PILLAR Trade Ops Portal (TOP) USER GUIDE

Navigation Pane

The navigation pane is located on the black banner along the top of the page. Hover your mouse over each market to display the available search links for that market.

Dashboards

OVERVIEW

- Displays the selected market's Top Ten Auction by Matched Volume
- Displays Core Session Data
 - Trades broken down by Tape
 - Shares traded broken down by Tape
 - Halted Symbols broken down by Tape
 - Color coded by Halt Type

AMERICAN ARCA NYSE BAC NATIONAL

DASHBOARDS
OVERVIEW

TOP AUCTION DATA

Symbol	Primary Exchange	Auction Type	Market Imbalance Side	Market Imbalance	Total Imbalance Side	Total Imbalance	Matched Vol	Indicative Matched Price	Net Change
SPY	ARCX	core	none	0	sell	3,373	364,519	277.52	+0.02
AMLP	ARCX	core	none	0	buy	801	175,406	10.11	+0.11
SH	ARCX	core	none	0	buy	83,794	152,567	28.54	0.00
VTI	ARCX	core	none	0	buy	13	136,856	142.1	+0.31
XLF	ARCX	core	none	0	buy	12,766	135,163	27.01	-0.02
UPRO	ARCX	core	none	0	sell	2,891	129,164	48.82	-0.02
VWO	ARCX	core	none	0	sell	10,528	123,604	39.91	-0.04
TZA	ARCX	core	none	0	sell	20,449	115,782	10.44	0.00
VXX	ARCX	core	none	0	sell	622	103,411	33.1	+0.01
SPYG	ARCX	core	none	0	buy	7,777	60,675	25.4	+0.01

Last Updated@ 11:25:41.533 All Auctions

CORE SESSION DATA

	TapeA	TapeB	TapeC	Total
Shares	91,532,905	123,661,566	88,908,625	304,103,096
Trades	638,150	414,785	573,226	1,626,161
Halted Symbols		GLU RTWI	FDMI AHPA AHPAU AHPAW SOLO SOLOW	7

Last Updated@ 11:25:03.728

Legend: luld halt, ipo/new issue, mixcb halt, reg halt, equip change, order imb, others

Firm Information

My Firm MPIDs

- Query Session Details based on the following criteria:
 - MPID - free form text

- SENDER MPID - free form text
 - Status
 - All
 - Active
 - Prospect
 - Inactive
- Exportable to Excel 
- Display Grid is customizable by selecting/unselecting columns 

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FIRM INFORMATION

MY FIRM MPIDS

MPID: Sender MPID: User Name: Status:

SEARCH RESET    

Name	MPID	Sender MPID	Status	MM Sender MPID
TBOX Test Firm	1X1X	PTBOXBIN2/1X1X	✓ active	

Last Updated @ 16:03:04.060

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My Sessions

- Query Session Details based on the following criteria:
 - Username
 - Sender Type
 - Algo
 - Broker
 - Customer Equity MM
 - Direct to Broker
 - DMM
 - Options MM
 - Service Bureau
 - User Type
 - Binary

- Binary Drop Copy
 - FIX
 - FIX Drop Copy
-
- Session Status
 - All
 - Active- session is connected and live
 - Prospect- session is connected but not live
 - Inactive- session is not connected
- Source IP
- CG (Customer Gateway)
- Connection Status
 - All
 - Connected
 - Primary
 - Secondary
 - Disconnected
 - None
- Exportable to Excel 
- Display Grid is customizable using the “GEAR” icon 
- Help button  defines each column on the current page with a pop-out glossary

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FIRM INFORMATION

MY SESSIONS

Username Sender Type User Type Session Status Source IP CG

Connection Status

SEARCH **RESET**    

Username	Company Name	Connection Status	Sender Type	User Type	User Id	Pri CG	Sec CG	Session Status	Port	Source IP	Source Port
PTBOXBIN2	TBOX Test Firm	✓ primary	cust_equity_mm	bin	45856	CG-128	CG-135	✓ active	45856	10.147.19.22	36050

Last Updated @ 16:03:54.887

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Trades

- Query trades based on the following criteria after hitting 
 - Symbol
 - Matcher Date and Time
 - Price
 - Quantity
 - Side
 - ETPID/MPID
 - Deal ID
 - Account Number
 - Domain
 - Client Order ID
 - Liquidity Indicator
 - Sender Sub ID
 - Session ID
- Exportable to Excel 
- Display Grid is customizable using the “GEAR” icon 
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DASHBOARDS		FIRM INFORMATION		MARKET DATA		RESOURCES		VOLUMES				
Overview		My Firm MPIDs My Sessions Trades		Auctions		Documents & Links		Broker Rank CADV Liquidity Metrics Quote Metrics Search Trade Count				
SEARCH RESET												
Deal Id	Date	Symbol	Side	Quantity	Price	ETPID/MPID	Account Number	Domain	Client Order Id	Liquidity Ind.	Sender Sub Id	Session Id
151996616...	12/27/2018 10:06:09.6979479...	PTEST.W	SELL	60	25.05	1X1X		1X1X	2374	R		NGP-PTBOXBIN2
151996616...	12/27/2018 10:06:09.6979479...	PTEST.W	BUY	60	25.05	1X1X		1X1X	2372	A		NGP-PTBOXBIN2
151996616...	12/27/2018 10:01:59.6948387...	PTEST.W	BUY	60	25.04	1X1X		1X1X	2349	XC		NGP-PTBOXBIN2
151996616...	12/27/2018 10:01:29.6937994...	ATEST.G	BUY	60	25.09	1X1X		1X1X	2337	A		NGP-PTBOXBIN2
151996616...	12/27/2018 10:01:29.6937994...	ATEST.G	SELL	60	25.09	1X1X		1X1X	2346	R		NGP-PTBOXBIN2
151996616...	12/27/2018 10:01:19.6936488...	PTEST.W	BUY	60	24.96	1X1X		1X1X	2148	A		NGP-PTBOXBIN2
151996616...	12/27/2018 10:01:19.6936488...	PTEST.W	SELL	60	24.96	1X1X		1X1X	2345	R		NGP-PTBOXBIN2
151996616...	12/27/2018 09:59:49.6923281...	ATEST.G	BUY	60	24.96	1X1X		1X1X	1875	A		NGP-PTBOXBIN2
151996616...	12/27/2018 09:59:49.6923281...	ATEST.G	SELL	60	24.96	1X1X		1X1X	2336	R		NGP-PTBOXBIN2
151996616...	12/27/2018 09:59:39.6921838...	ATEST.G	SELL	60	25.00	1X1X		1X1X	2335	R		NGP-PTBOXBIN2
151996616...	12/27/2018 09:59:39.6921838...	ATEST.G	BUY	60	25.00	1X1X		1X1X	2323	A		NGP-PTBOXBIN2
151996616...	12/27/2018 09:59:29.6920276...	ATEST.G	SELL	60	25.09	1X1X		1X1X	2016	A		NGP-PTBOXBIN2
151996616...	12/27/2018 09:59:29.6920276...	ATEST.G	BUY	60	25.09	1X1X		1X1X	2334	R		NGP-PTBOXBIN2
151996616...	12/27/2018 09:59:19.6918773...	PTEST.W	SELL	60	25.03	1X1X		1X1X	2287	A		NGP-PTBOXBIN2
151996616...	12/27/2018 09:59:19.6918773...	PTEST.W	BUY	60	25.03	1X1X		1X1X	2333	R		NGP-PTBOXBIN2
151996616...	12/27/2018 09:58:49.6914357...	ATEST.G	SELL	60	25.04	1X1X		1X1X	2261	A		NGP-PTBOXBIN2
151996616...	12/27/2018 09:58:49.6914357...	ATEST.G	BUY	60	25.04	1X1X		1X1X	2330	R		NGP-PTBOXBIN2
151996616...	12/27/2018 09:58:19.6909893...	PTEST.W	BUY	60	24.97	1X1X		1X1X	2327	R		NGP-PTBOXBIN2

Auctions

- Query Auction Data based on the following criteria:
 - Symbol
 - Primary Exchange
 - Auction Type
 - Early Morning
 - Core
 - Closing
 - Reopening
 - IPO
 - Market Imbalance Side
 - Buy
 - Sell
 - None
 - Cross
 - Market Imbalance
 - Total Imbalance Side
 - Buy
 - Sell
 - None
 - Cross
 - Imbalanced/Matched Volume
 - Market Imbalance
 - Matched Volume
- Exportable to Excel 
- Display Grid is customizable using the “GEAR” icon 
- Can be set to Auto-Refresh
- Help button  defines each column on the current page with a pop-out glossary

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MARKET DATA

AUCTIONS

Symbol: Primary Exchange: Auction Type: Upcoming Market Imbalance Side: Total Imbalance Side: Imbalance/Matched Vol:

Market Imbalance: Matched Volume:

SEARCH RESET

Symbol	Primary Exch...	Auction Type	Market Imba...	Market Imba...	Total Imbala...	Total Imbala...	Matched Vol	Indicative M...	Net Change
A	XNYS	close	none	0	buy	300	0	68.27	0.00
AA	XNYS	close	none	0	buy	100	0	36.81	-0.03
AAAU	ARCX	close	none	0	buy	10,100	0	12.25	-0.02
AABA	XNAS	close	none	0	buy	400	0	63.58	0.00
AAC	XNYS	close	none	0	buy	100	0	2.98	0.00
AADR	ARCX	close	none	0	buy	16	284	48.48	+0.03
AAL	XNAS	close	none	0	sell	800	0	36.99	+0.01
AAMC	XASE	close	none	0	none	0	0	0	-50.00
AAME	XNAS	close	none	0	none	0	0	0	-3.57
AAN	XNYS	close	none	0	buy	100	0	50.92	-0.12
AAOI	XNAS	close	none	0	buy	300	0	19.29	-0.12
AAON	XNAS	close	none	0	sell	375	0	43.25	-0.02
AAP	XNYS	close	none	0	buy	100	0	170.42	-0.07
AAPL	XNAS	close	none	0	buy	250	0	209.89	-0.08
AAT	XNYS	close	none	0	buy	200	0	38.76	-0.11
AAU	XASE	close	none	0	sell	1,500	0	0.5502	+0.03
AAWW	XNAS	close	none	0	sell	300	0	57.43	+0.34
AAJX	XNAS	close	none	0	sell	5,000	0	66.89	+0.03
AAIX	XNAS	close	none	0	sell	500	0	51.02	+0.33
AB	XNYS	close	none	0	sell	500	0	30.49	+0.47

Last Updated @ 16:07:51.553

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Resources

Documents and Links

- Quick Links to other NYSE Webpages
 - NYSE.com
 - Invoice Viewer
 - Market Status Updates
 - NYSE Index
 - Trader Updates

Volumes

Broker Rank

- Ranking of Firms by liquidity type
- Exportable to Excel 
- Display Grid is customizable using the "GEAR" icon 
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VOLUMES

BROKER RANK

CADV BROKER RANK TRADE COUNT AD HOC

Date: MM/YYYY ETPID / MPID

SEARCH RESET

	All Tapes		Tape A		Tape B		Tape C	
	T+1	MTD	T+1	MTD	T+1	MTD	T+1	MTD
TBOX Test Firm	87	93	106	120	66	71	118	126
Adding Liquidity	270	294	290	112	209	61	314	121
Taking Liquidity	272	299	290	119	212	66	314	120
Opening/Re-Opening	425	461	290	9	342	65	314	10
Other	360	387	290	100	275	50	314	103

Volumes @ 11/01/2018 - 11/08/2018

CADV

- Summary of CADV for a specific date
- Summary of Firm Volume by liquidity tag for a specific date
 - Exportable to Excel 
 - Display Grid is customizable using the "GEAR" icon 
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VOLUMES

CADV

CADV BROKER RANK TRADE COUNT AD HOC

Date: MM/YYYY ETPID / MPID Session Id Broker Badge MMID

SEARCH RESET

	All Tapes				Tape A				Tape B				Tape C		
	T+1	MTD	ADV	CADV (%)	T+1	MTD	ADV	CADV (%)	T+1	MTD	ADV	CADV (%)	T+1	MTD	ADV
CADV	7,977,840,310				3,964,809,033				1,466,657,082				2,546,374,195		
Arca	719,870,688				221,655,712				301,150,997				197,063,979		
TBOX Test Firm	129,950	682,270	113,711	0.001 %	0	0	0	0.000 %	129,950	682,270	113,711	0.008 %	0	0	0
- Adding Liquidity (1)	60,250	315,420	52,570	0.001 %	0	0	0.000 %	60,250	315,420	52,570	0.004 %	0	0	0	
- A - Add Regular Limit Order	60,250	315,420	52,570	0.001 %	0	0	0.000 %	60,250	315,420	52,570	0.004 %	0	0	0	
- Taking Liquidity (1)	57,370	300,950	50,158	0.001 %	0	0	0.000 %	57,370	300,950	50,158	0.003 %	0	0	0	
- R - Remove Regular Limit or Market	57,370	300,950	50,158	0.001 %	0	0	0.000 %	57,370	300,950	50,158	0.003 %	0	0	0	
- Opening/Re-Opening (1)	1,320	7,560	1,260	0.000 %	0	0	0.000 %	1,320	7,560	1,260	0.000 %	0	0	0	
- OL - Limit orders at Opening	1,320	7,560	1,260	0.000 %	0	0	0.000 %	1,320	7,560	1,260	0.000 %	0	0	0	
- Other (2)	11,010	58,340	9,723	0.000 %	0	0	0.000 %	11,010	58,340	9,723	0.001 %	0	0	0	
- XA - Routed - NYSE American Execution	4,380	25,190	4,198	0.000 %	0	0	0.000 %	4,380	25,190	4,198	0.000 %	0	0	0	
- XC - Routed - National Stock Exchange	6,630	33,150	5,525	0.000 %	0	0	0.000 %	6,630	33,150	5,525	0.000 %	0	0	0	

Volumes @ 11/01/2018 - 11/08/2018

Trade Count

- Count of Trades by liquidity codes for a specific day
- Exportable to Excel 
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VOLUMES

TRADE COUNT

CADV BROKER RANK TRADE COUNT AD HOC

Date: MM/YYYY ETPID / MPID Session Id Broker Badge MMID

SEARCH RESET

	All Tapes			Tape A			Tape B			Tape C		
	T+1	MTD	ADV	T+1	MTD	ADV	T+1	MTD	ADV	T+1	MTD	ADV
TBOX Test Firm	2,309	12,433	2,073	0	0	0	2,309	12,433	2,073	0	0	0
> Adding Liquidity (1)	1,028	5,547	925	0	0	0	1,028	5,547	925	0	0	0
> Taking Liquidity (1)	975	5,265	878	0	0	0	975	5,265	878	0	0	0
> Opening/Re-Opening (1)	22	126	21	0	0	0	22	126	21	0	0	0
> Other (2)	284	1,495	249	0	0	0	284	1,495	249	0	0	0

Volumes @ 11/01/2018 - 11/08/2018

Search

- Firm volumes searchable by:
 - Date Range
 - Symbol
 - Session ID
 - MPID
- Exportable to Excel 
- Display Grid is customizable using the “GEAR” icon 
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VOLUMES

SEARCH



CADV BROKER RANK TRADE COUNT SEARCH

Date Range: 12/01/2018 TO 12/27/2018
 ETPID / MPID(s): -
 Tape(s): -
 Symbol(s): delimited by "*"
 Broker Badge:
 Session Id:
 MMID:
 Symbol Group: -

SEARCH RESET



-	Buy	Sell	SSH & SSHE	Opening Auction	Closing Auction	Total Auction	Buy %	Sell %	SSH & SSHE %
Volume	1,029,030	1,046,230	0	22,080	0	22,080	49.586 %	50.414 %	0.000 %
Trades	18,632	19,002	0	368	0	368	49.508 %	50.492 %	0.000 %
Notional	25,737,512	26,165,738	0	552,277	0	552,277	49.587 %	50.413 %	0.000 %