

NYSE Pillar Trade Ops Portal Options User Request and Authorization

Authorization

Firm acknowledges and agrees that its use of the NYSE Pillar Trade Ops Portal, available at <https://www.nyse.com/pillar/trade-ops-portal>, (the "tool") is ;(1) governed by the Terms of Use available at https://www.theice.com/publicdocs/nyse/markets/nyse/TOP_Terms_of_Use.pdf and that it must review and accept these Terms of Use before accessing the tool; (2) the individual listed below may access and use the tool on behalf of Firm (a "User"); (3) if a User has left the Firm or is no longer authorized to use the tool, the Firm must immediately notify crs@nyse.com; and (4) Firm is responsible for the acts of User.

General Information

Firm Name: _____

Business Address: _____

City: _____ State: _____ Zip: _____

Business Phone: _____ CRD #: _____

User Information

First/Last Name: _____

Global ID (Email Address): _____

User Type (Choose One):

Read Only User (Read / Modify)

Firm Type (Choose One):

| | |
|---|--|
| <input type="checkbox"/> Entering Firm | By default, all MPIDs are assigned. If only specific IDs are required, please specify: _____ |
| <input type="checkbox"/> Market Maker | By default, all MMIDs are assigned. If only specific MMIDs are required, please specify: _____ |
| <input type="checkbox"/> 3 rd Party Trade Checker (Risk Controls Not Available) | By default, all MPIDs are assigned. If only specific IDs are required, please specify: _____ |
| <input type="checkbox"/> Floor Broker | Firm Level. By default, all identifiers included. |
| <input type="checkbox"/> Clearing Firm (Risk Controls Not Available) | By default, all OCC#s are assigned. If only specific OCC#s are required, please specify: _____ |

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Please select each market* the above User should have access to:

- NYSE American Options NYSE Arca Options

*User will be permissioned for selected markets as they become available.

Please select each module* the above User should have access to:

- All Firm Sessions Symbol Management Trades Viewer
 Orders Viewer Risk Controls (Complete Section Below)

*User will be permissioned for selected markets and modules as they become available.

Risk Controls (Only required if Risk Controls module selected above.)

Risk User Permissions (Choose One):

- View Only Risk Desk - View & Configure Risk Officer -
View, Configure, Action (Kill Switch & Reinstate)

Authorization and Acceptance*

*Signatory must be on file via the NYSE Pillar Trade Ops Portal - Authorized Administrators form available here:
https://www.nyse.com/publicdocs/nyse/NYSE_Pillar_Trade_Ops_Portal_Authorized_Administrators.pdf

By (Signature) _____ Date: _____
Print Name: _____
Title: _____
Phone: _____ Email: _____

Completed forms should be returned via email to crs@nyse.com.