

**NYSE Pillar  
SubID Request Form for Pre-Trade Risk Controls**

**General Information**

Firm Name: \_\_\_\_\_

Business Address: \_\_\_\_\_

City: \_\_\_\_\_ State: \_\_\_\_\_ Zip: \_\_\_\_\_

Business Phone: \_\_\_\_\_ CRD #: \_\_\_\_\_

Contact Name: \_\_\_\_\_

Email Address: \_\_\_\_\_

**Risk Entities**

MPIDs are automatically available in the NYSE Pillar Trade Ops Portal as Risk Entities. Entering Firms have the option to request SubIDs to create additional Risk Entities of MPID + SubID.

Please note, for Binary connections, there is a maximum limit of 35 SubIDs.

NYSE Client Relationship Services (CRS) will provide your list of assigned Sub-IDs to the email address listed above.

**Please select each market for which SubIDs are requested:**

- |   |   |                               |
|---|---|-------------------------------|
| <input type="checkbox"/> NYSE Arca Equities | <input type="checkbox"/> NYSE American Equities | <input type="checkbox"/> NYSE |
| <input type="checkbox"/> NYSE National      | <input type="checkbox"/> NYSE Texas             |                               |
| <input type="checkbox"/> NYSE Arca Options  | <input type="checkbox"/> NYSE American Options  |                               |

**Entering Firm MPID:**

**Number of SubIDs Requested:**

|       |       |
|-------|-------|
| _____ | _____ |
| _____ | _____ |
| _____ | _____ |
| _____ | _____ |
| _____ | _____ |
| _____ | _____ |
| _____ | _____ |

If necessary, please submit multiple copies of this form.

**Authorization and Acceptance**

By (Signature) \_\_\_\_\_ Date: \_\_\_\_\_

Print Name: \_\_\_\_\_

Title: \_\_\_\_\_

Phone: \_\_\_\_\_ Email: \_\_\_\_\_

Completed Form should be returned via email to [crs@nyse.com](mailto:crs@nyse.com).