



# NYSE Arca Options Migration to NYSE Pillar 1H 2022

## PRODUCTION MIGRATION REQUIREMENTS

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### Step 1 - NYSE Pillar Certification

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Basic functional certification with Technology Member Services (“TMS”) is required in the NYSE Pillar Certification environment (“Pillar Cert”) prior to being enabled for the Production environment.

To test in Pillar Cert please submit a [Cert Session Request Form](#) to [tms@nyse.com](mailto:tms@nyse.com).

Additionally, Participants are encouraged to test Trade Ops Portal (“TOP”) functionality. TOP is the new NYSE Pillar client portal that will replace CMT and GEMS and will offer the ability to: i) view executed trade details; ii) review inventory of Pillar order entry and drop copy sessions; iii) configure Pre-Trade and Activity-Based Risk Controls; iv) allocate Open Outcry trades; v) apply Post Trade clearing changes.

Contact [tms@nyse.com](mailto:tms@nyse.com) with desired TOP user e-mail addresses to request Pillar Cert TOP access.

### Step 2 - Production Documentation

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The following documentation is required to be enabled for Production:

- [NYSE Pillar Master User Agreement](#)
  - or [Additional Platform Agreement](#) for NYSE Arca Options if an MUA for another NYSE entity is already on file
- [NYSE Pillar Connectivity Questionnaire](#) - required to establish an Exchange identifier even if not connecting directly to the Exchange
- [NYSE Pillar Authorized Trader Form](#)
- [NYSE Pillar Trade Ops Portal - Authorized Administrators](#) *required only if using TOP*
- [NYSE Pillar Trade Ops Portal - Options User Request and Authorization](#) *required only if using TOP*

Please contact Client Relationship Services Team at [crs@nyse.com](mailto:crs@nyse.com) to discuss firm requirements and to reserve a Market Participant Identifier (MPID).

## Support Contact Information

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- **Dedicated Migration Webpage:** [www.nyse.com/pillar-migration](http://www.nyse.com/pillar-migration)
- **Relationship Management:** [RMTeam@nyse.com](mailto:RMTeam@nyse.com) | +1 855 898 9012
- **Trading Operations:** [Tradingox@nyse.com](mailto:Tradingox@nyse.com) | +1 212 896 2830, Options, 1, 2
- **Connectivity:** [Connectivity@nyse.com](mailto:Connectivity@nyse.com) | +1 212 896 2830, Options, 2, 1
- **Technology Member Services:** [Tms@nyse.com](mailto:Tms@nyse.com) | +1 212 896 2830, Options, 2, 2
- **Client Relationship Services:** [Crs@nyse.com](mailto:Crs@nyse.com)