NYSE PILLAR TRADE OPS PORTAL USER GUIDE

To request access to the client management tool:

1. Set up an ICE Global ID here.

2. Have your firm submit the NYSE Pillar Trade Ops Portal Authorized Administrators form.

3. Submit the NYSE Pillar Trade Ops Portal User Request and Authorization form to crs@nyse.com.

Home Page

Features:
- Displays the selected market's Top Ten Auction by Matched Volume
- Displays Core Session Data
  - Trades broken down by Tape
  - Shares traded broken down by Tape
  - Halted Symbols broken down by Tape
    - Color coded by Halt Type

Navigation Pane

The navigation pane is located on the black banner along the left hand side of the page and will pop-out if clicked on any spot of the banner.

Market Data Tab

Features:
- Query Auction Data based on the following criteria:
  - Symbol
  - Primary Exchange
  - Auction Type
    - Early Morning
    - Core
    - Closing
    - Reopening
    - IPO
  - Market Imbalance Side
    - Buy
    - Sell
    - None
    - Cross
  - Market Imbalance
  - Total Imbalance Side
    - Buy
    - Sell
    - None

- Cross
  - Total Imbalance
  - Matched Volume
  - Indicative Matched Price
  - Net Change From Last Sale
- Exportable to Excel ⬇
- Display Grid is customizable using the "GEAR" icon ⚙
- Can be set to Auto-Refresh
- Help button ⓘ defines each column on the current page with a pop-out glossary

Firm Information Tab
My Sessions

Features:

- Full list and details of sessions at the Firm level
- Query Session Details based on the following criteria:
  - Sender Type
    - Algo
    - Broker
    - Customer Equity MM
    - Direct to Broker
    - DMM
    - Options MM
    - Service Bureau
  - User Type
    - Binary
    - Binary Drop Copy
    - FIX
    - FIX Drop Copy
  - SenderComp
  - Session Status
    - All
    - Active- session is connected and live

- Prospect- session is connected but not live
- Inactive- session is not connected
  - o Source IP
  - o CG (Customer Gateway)
  - o Connection Status
    - All
    - Connected
    - Primary
    - Secondary
    - Disconnected
    - None

- Exportable to Excel ⬇

- Display Grid is customizable using the "GEAR" icon ⚙

- Help button ⓘ defines each column on the current page with a pop-out glossary

My Firm MPIDs

NAME	MPID	SENDER MPID	STATUS	MM SENDER MPID
TBOX Test Firm	2X2X	AMRTBOXBIN01/2X2X	active	
TBOX Test Firm	2X2X	AMRTBOXFIX02/2X2X	active	
TBOX Test Firm	2X2X	AMRTBOXFIX01/2X2X	active	
TBOX Test Firm	2X2X	AMRTBOXBIN02/2X2X	active	

Last updated @ Wed, 14 Feb 2018 19:16:15 GMT

AUTO REFRESH:

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Features:

- Full list and details of sessions at the MPID level
  - o Query Session Details based on the following criteria:
    - MPID - free form text
    - SENDER MPID - free form text (combo of SenderComp and MPID)
    - SenderComp - "My Session ID" - free form text
    - Status
      - All
      - Active
      - Prospect
      - Inactive
  - o Exportable to Excel
  - o Display Grid is customizable by selecting/unselecting columns

My Trades

HOME   MARKET DATA   FIRM INFORMATION

NYSE American   NYSE Arca Equities   NYSE

MY TRADES

DEAL ID	DATE	SYMBOL	SIDE	INDICATOR	QUANTITY	PRICE	CRD	ETPID/MPID	DOMAIN	CLIENT ORDER ID	LIQ
15199687397172634	2018-02-14 14:14:09.142	ATEST G	SELL	SOLD	50	33.48	888888888	2X2X		1505	A
15199687397172634	2018-02-14 14:14:09.142	ATEST G	BUY	BOT	50	33.48	888888888	2X2X		1456	R
15199687397171464	2018-02-14 14:08:16.197	ATEST G	SELL	SOLD	50	33.49	888888888	2X2X		1435	A
15199687397171529	2018-02-14 14:08:16.197	ATEST G	SELL	SOLD	10	33.49	888888888	2X2X		1488	A
15199687397171399	2018-02-14 14:06:59.136	ATEST G	SELL	SOLD	50	33.49	888888888	2X2X		1434	A
15199687397171399	2018-02-14 14:06:59.136	ATEST G	BUY	BOT	50	33.49	888888888	2X2X		1484	R
15199687397171269	2018-02-14 14:06:19.135	ATEST G	SELL	SOLD	50	33.45	888888888	2X2X		1483	A
15199687397171269	2018-02-14 14:06:19.135	ATEST G	BUY	BOT	50	33.45	888888888	2X2X		1431	R
15199687397170619	2018-02-14 14:04:29.134	ATEST G	SELL	SOLD	50	33.56	888888888	2X2X		1426	R
15199687397170619	2018-02-14 14:04:29.134	ATEST G	BUY	BOT	50	33.56	888888888	2X2X		1425	A
15199687397170294	2018-02-14 14:03:59.133	ATEST G	SELL	SOLD	50	33.58	888888888	2X2X		1476	R
15199687397170294	2018-02-14 14:03:59.133	ATEST G	BUY	BOT	50	33.58	888888888	2X2X		1424	A
15199687397170229	2018-02-14 14:03:39.133	ATEST G	SELL	SOLD	50	33.50	888888888	2X2X		1423	A
15199687397170229	2018-02-14 14:03:39.133	ATEST G	BUY	BOT	50	33.50	888888888	2X2X		1475	R

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Features:

- Query trades based on the following criteria after hitting 🔍
    - Deal ID
    - Date
    - Time
    - Symbol
    - Side
    - Quantity
    - Price
    - ETPID/MPID
    - Domain
    - Client Order ID
    - Liquidity Indicator
    - Sender Sub ID
    - Session ID

- Exportable to Excel ⬇

- Display Grid is customizable using the "GEAR" icon ⚙

- Help button ⍰ defines each column and their contents on the current page with a pop-out glossary

My Volumes

Features:

- View your firm's and industry volumes
    - Adding
    - Taking
    - Opening Auction
    - Closing Auction

- Exportable to Excel

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- Help button defines each column and their contents on the current page with a pop-out glossary