

NYSE Online Tools - Authorized Administrators

Authorization

Member Firm acknowledges and agrees that its use of the NYSE Online Tools are: (1) governed by the Terms of Use available at https://www.ice.com/publicdocs/nyse/NYSE_Online_Tools_Terms_of_Use.pdf and that it must review and accept these Terms of Use before accessing the tool(s); (2) the individuals listed below (each, an "Administrator") may authorize access and use of the tool(s) on behalf of Member Firm and its User(s); (3) if a User has left the Member Firm or is no longer authorized to use the tool(s), the Member Firm must immediately notify crs@nyse.com; and (4) Member Firm is responsible for the acts and omissions of User.

Choose NYSE Online Tools:

☐ NYSE Trade Ops Portal (TOP)

☐ NYSE TRF Portal

General Information

Firm Name: _____

Business Address: _____

City: _____ State: _____ Zip: _____

Business Phone: _____ CRD #: _____

Authorized Administrators

The following employees of the above Member Firm have been designated as Administrators for the Services provided in the customer management tool and are authorized to request access and make changes to User profiles via the completion of the NYSE Pillar Trade Ops Portal - User Request and Authorization form available at www.nyse.com/pillar or the NYSE TRF Portal User Agreement available at www.nyse.com/trf-migration#documents. Member Firm agrees that it will inform NYSE in writing immediately if any of the Administrators below are no longer authorized to act in the capacity indicated herein. Member Firm is responsible for the acts and omissions of Administrators.

Full Name: _____

Email Address: _____

Title: _____ Phone #: _____

Full Name: _____

Email Address: _____

Title: _____ Phone #: _____

Full Name: _____

Email Address: _____

Title: _____ Phone #: _____

Full Name: _____

Email Address: _____

Title: _____ Phone #: _____

Compliance Officer Acceptance and Authorization

By (Signature) _____ Date: _____

Print Name: _____

Title: _____

Phone: _____ Email: _____

Completed Forms should be returned via email to crs@nyse.com.